# Double Covers of Symplectic Dual Polar Graphs

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#### Abstract

Let  $\Gamma = \Gamma(2n,q)$  be the dual polar graph of type Sp(2n,q). Underlying this graph is a 2n-dimensional vector space V over a field  $\mathbb{F}_q$  of odd order q, together with a symplectic (i.e. nondegenerate alternating bilinear) form  $B: V \times V \to \mathbb{F}_q$ . The vertex set of  $\Gamma$  is the set  $\mathcal{V}$  of all n-dimensional totally isotropic subspaces of V. If  $q \equiv 1 \mod 4$ , we obtain from  $\Gamma$  a nontrivial two-graph  $\Delta = \Delta(2n,q)$  on  $\mathcal{V}$  invariant under PSp(2n,q). This two-graph corresponds to a double cover  $\widehat{\Gamma} \to \Gamma$  on which is naturally defined a Q-polynomial (2n+1)-class association scheme on  $2|\widehat{\mathcal{V}}|$  vertices.

Keywords: association scheme, Q-polynomial, symplectic group, two-graph, dual polar graph

### 1. Introduction

Association schemes [2, 6] were first defined by Bose and Mesner [5] in the context of the design of experiments. Philippe Delsarte used association schemes to unify the study of coding theory and design theory in his thesis [9], where he derived his well-known linear programming bound which has since found many applications in combinatorics. There he identified two types of association schemes which were of particular interest: the so-called P-polynomial and Q-polynomial schemes. Schemes which are P-polynomial are precisely those arising from distance-regular graphs, and are well studied. In particular, much effort has gone into the classification of distance-transitive graphs, the P-polynomial schemes which are the orbitals of a permutation group; and it is likely that all such examples are known. Also well-studied are the schemes which are both Q-polynomial and P-polynomial. A well-known conjecture [2, p.312] of Bannai and Ito is the following: for sufficiently large d, a primitive scheme is P-polynomial if and only if it is Q-polynomial.

Classification efforts for Q-polynomial schemes are far less advanced than in the P-polynomial case; in particular it is likely that more examples from permutation groups are yet to be found. The Q-polynomial property has no known

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combinatorial characterization, making their study more difficult. However, the list of known examples (see [13, 15, 8]) indicates that these objects have interesting structure from the viewpoint of designs, lattices, coding theory and finite geometry.

In this paper, we give a new family of imprimitive Q-polynomial schemes with an unbounded number of classes. These schemes are formed by the orbitals of a group, giving a double cover of the scheme arising from the symplectic dual polar space graph. We note that only one other family of imprimitive Q-polynomial schemes with an unbounded number of classes is known that is not P-polynomial, namely the bipartite doubles of the Hermitian dual polar space graphs, which are Q-bipartite and Q-antipodal. The schemes in this paper are Q-bipartite, and have two Q-polynomial orderings. Except when the field order q is a square, the splitting field of these schemes is also irrational. We note that this is the only known family of Q-polynomial schemes with unbounded number of classes and an irrational splitting field. In the last section we give open parameters for hypothetical primitive Q-polynomial subschemes of this family.

Our paper is organized as follows: Background material on Gaussian coefficients, two-graphs and double covers of graphs, are covered in Sections 2–3. In Section 4 we recall the standard construction of the symplectic dual polar graph  $\Gamma = \Gamma(2n,q)$ . There we also introduce the Maslov index, which we use in Section 5 to construct the double cover  $\widehat{\Gamma} \to \Gamma$  when  $q \equiv 1 \mod 4$ . In Section 6 we construct a (2n+1)-class association scheme  $\mathcal{S} = \mathcal{S}_{n,q}$  from  $\widehat{\Gamma}$ ; and in Section 7 we show that  $\mathcal{S}$  is Q-polynomial. The P-matrix of the scheme is constructed in Section 8. A particularly tantalizing open problem is the question whether  $\mathcal{S}$  is in general the extended Q-bipartite double of a primitive Q-polynomial scheme; see Section 9.

#### 2. Gaussian coefficients

For all integers n, k we define the Gaussian coefficient

$${n\brack k} = {n\brack k}_q = \begin{cases} \frac{(q^n-1)(q^{n-1}-1)\cdots(q^{n-k+1}-1)}{(q^k-1)(q^{k-1}-1)\cdots(q-1)}, & \text{if } k\geqslant 0;\\ 0, & \text{if } k<0. \end{cases}$$

In particular for k=0 the empty product gives  $\begin{bmatrix} n \\ 0 \end{bmatrix} = 1$ . In later sections, q will be a fixed prime power; but here we may regard q as an indeterminate, so that for  $n \geq 0$ , after cancelling factors we find  $\begin{bmatrix} n \\ k \end{bmatrix} \in \mathbb{Z}[q]$ ; and specializing to q=1 gives the ordinary binomial coefficients  $\begin{bmatrix} n \\ k \end{bmatrix} = \binom{n}{k}$ . For general  $n \in \mathbb{Z}$  we instead obtain a Laurent polynomial in q with integer coefficients, i.e.  $\begin{bmatrix} n \\ k \end{bmatrix} \in \mathbb{Z}[q,q^{-1}]$ , as follows from conclusion (ii) of the following.

**Proposition 2.1.** Let  $n, k, \ell \in \mathbb{Z}$ . The Gaussian coefficients satisfy

$$(i) \ \left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] = q^k \left[ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right] + \left[ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right] = \left[ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right] + q^{n-k} \left[ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right];$$

(ii) 
$$\binom{-n}{k} = (-q^{-n})^k \binom{n+k-1}{k}$$
;

(iii) 
$$\begin{bmatrix} n \\ k \end{bmatrix} \begin{bmatrix} k \\ \ell \end{bmatrix} = \begin{bmatrix} n \\ \ell \end{bmatrix} \begin{bmatrix} n-\ell \\ k-\ell \end{bmatrix}$$
;

(iv) 
$$\begin{bmatrix} n \\ k \end{bmatrix} = \begin{bmatrix} n \\ n-k \end{bmatrix}$$
 whenever  $0 \leqslant k \leqslant n$ .

Most of the conclusions of Proposition 2.1 are found in standard references such as [1]. However, our definition of  $\binom{n}{k}$  differs from the standard definition found in most sources, which either leave  $\binom{n}{k}$  undefined for n < 0, or define it to be zero in that case. Our extension to all  $n \in \mathbb{Z}$  means that the recurrence formulas (i) hold for all integers n, k, unlike the 'standard definition' which fails for n = k = 0. Property (i) plays a role in our later algebraic proofs using generating functions. In further defense of our definition, we observe that it has become standard to extend the definition of binomial coefficients  $\binom{n}{k}$  so that  $\binom{-n}{k} = (-1)^k \binom{n+k-1}{k}$  (see e.g. [1, p.12]); and (ii) naturally generalizes this to Gaussian coefficients. We further note that (iii) holds for all  $n, k \in \mathbb{Z}$  whether one takes the standard definition of  $\binom{n}{k}$  or ours. The one advantage of the standard definition is that it renders superfluous the extra restriction  $0 \le k \le n$  in the symmetry condition (iv). The interpretation of  $\binom{n}{k}$  as the number of k-subspaces of an n-space over  $\mathbb{F}_q$  is valid for all  $n \ge 0$ .

In Section 8 we will make use of the well-known generating polynomials

$$E_m(t) = \prod_{i=0}^{m-1} (1 + q^i t) = \sum_{\ell=0}^{\infty} q^{\binom{\ell}{2}} {m \choose \ell} t^{\ell} \quad \text{for } m = 0, 1, 2, \dots;$$

note that in the latter sum, the terms for  $\ell > m$  vanish, yielding  $E_m(t) \in \mathbb{Z}[q,t]$  (or after specializing to a fixed prime power q, we obtain  $E_m(t) \in \mathbb{Z}[t]$ ). Here we see the usual binomial coefficient  $\binom{\ell}{2} = \frac{1}{2}\ell(\ell-1)$ . In Section 8 we will make use of the following obvious relations:

**Proposition 2.2.** For all  $m \ge 0$ , the generating function  $E_m(t)$  satisfies

(i) 
$$E_m(-qt) = \frac{1-q^m t}{1-t} E_m(-t);$$

(ii) 
$$E_m(q^2t) = \frac{1+q^{m+1}t}{1+qt}E_m(qt)$$
; and

(iii) 
$$E_m(r^3t) = \frac{1+rq^mt}{1+rt}E_m(rt)$$
 where  $r = \sqrt{q}$ .

#### 3. Two-graphs and double covers of graphs

Here we describe the most basic connections between two-graphs and double covers of graphs; see [14, 16, 6, 18] for more details. Our notation is chosen to conform to that used in subsequent sections.

Let  $\mathcal{V}$  be any set. Denote by  $\binom{\mathcal{V}}{k}$  the collection of all k-subsets of  $\mathcal{V}$  (i.e. subsets of cardinality k). A two-graph on  $\mathcal{V}$  is a subset  $\Delta \subseteq \binom{\mathcal{V}}{3}$  such that for every 4-set  $\{x,y,z,w\} \in \binom{\mathcal{V}}{4}$ , an even number, i.e. 0, 2 or 4, of the triples

 $\{x,y,z\},\ \{x,y,w\},\ \{x,z,w\},\ \{y,z,w\}$  is in  $\Delta$ . If  $\Delta$  is a two-graph on  $\mathcal V$ , then the complementary set of triples  $\overline{\Delta}=\left\{\{x,y,z\}\in\binom{\mathcal V}{3}:\{x,y,z\}\notin\Delta\right\}$  is also a two-graph, called the *complementary two-graph* on  $\mathcal V$ .

A graph on  $\mathcal{V}$  is a subset  $\Gamma \subseteq \binom{\mathcal{V}}{2}$ . Elements of  $\Gamma$  are called edges. The complete graph on  $\mathcal{V}$  is the graph  $K_{\mathcal{V}}$  with full edge set  $\binom{\mathcal{V}}{2}$ . In general the complementary set of pairs  $\overline{\Gamma} = \{\{x,y\} \in \binom{\mathcal{V}}{2} : \{x,y\} \notin \Gamma\}$  is the complementary graph on  $\mathcal{V}$ .

Every graph on  $\mathcal{V}$  may be identified with a signing of the edges of the complete graph  $K_{\mathcal{V}}$ , i.e. a function  $\sigma: \binom{\mathcal{V}}{2} \to \{\pm 1\}$ . Under this correspondence, the graph corresponding to  $\sigma$  has as its edge set  $\sigma^{-1}(1) = \{\{x,y\} \in \binom{\mathcal{V}}{2} : \sigma(x,y) = 1\}$ . (Here we abbreviate  $\sigma(\{x,y\}) = \sigma(x,y)$ .)

Given  $\Gamma$  and  $\sigma$  as above (which amounts to two graphs which may be entirely unrelated except for sharing the same vertex set  $\mathcal{V}$ ), we construct a new graph  $\widehat{\Gamma} = \widehat{\Gamma}_{\sigma}$  with vertex set  $\widehat{\mathcal{V}} = \mathcal{V} \times \{\pm 1\}$  and adjacency relation defined by

$$(x, \varepsilon) \sim (y, \varepsilon') \iff x \sim y \text{ and } \varepsilon \varepsilon' = \sigma(x, y).$$

(Note that  $(x,1) \not\sim (x,-1)$  since  $\Gamma$  has no loops.) The map  $(x,\varepsilon) \mapsto x$  is a double covering map  $\theta:\widehat{\Gamma}\to\Gamma$ , also called a double cover or simply a cover; and the fibers of this map are the pairs  $\theta^{-1}(x) = \{(x,1), (x,-1)\}$  where  $x \in \mathcal{V}$ . (By definition, a covering map of graphs is a graph homomorphism  $\theta:\widehat{\Gamma}\to\Gamma$ such that for any vertex  $x \in \Gamma$ , the preimage of the neighborhood graph  $\Gamma_x$  is isomorphic to a disjoint union of copies of  $\Gamma_x$ ; see e.g. [10]. 'Double' refers to the condition that the covering map is 2-to-1.) We also say that the vertices (x, 1)and (x, -1) are antipodal with respect to the covering map. (Note that antipodal vertices must be at distance  $\geq 2$ ; but we deviate from common custom by not requiring pairs of antipodal vertices to be at maximal distance diam  $\Gamma$ .) We denote by  $\zeta$  the transposition interchanging antipodal vertices:  $(x,1) \stackrel{\xi}{\leftrightarrow} (x,-1)$ . Denote by  $\operatorname{Aut}_{\zeta} \widehat{\Gamma} \leqslant \operatorname{Aut} \widehat{\Gamma}$  the subgroup consisting of all automorphisms of the graph  $\widehat{\Gamma}$  which preserve the antipodality relation. In general,  $\operatorname{Aut}_{\zeta}\widehat{\Gamma}$  is the centralizer of  $\zeta$  in the full automorphism group  $\operatorname{Aut}\widehat{\Gamma} \leqslant \operatorname{Sym}\widehat{\mathcal{V}}$ ; but in our case we obtain equality  $\operatorname{Aut}_{\zeta}\widehat{\Gamma}=\operatorname{Aut}\widehat{\Gamma}$  (see Lemma 5.4). Similarly, two covers  $\theta_i:\widehat{\Gamma}_i\to\Gamma$  of the same graph  $\Gamma$  (for i=1,2) are equivalent or isomorphic if there is a graph isomorphism  $\rho: \widehat{\Gamma}_1 \to \widehat{\Gamma}_2$  which preserves antipodality, i.e.  $\theta_1 \circ \rho = \theta_2$ .

Given  $\sigma:\binom{\mathcal{V}}{2}\to\{\pm 1\}$  as above, for every triple  $\{x,y,z\}\in\binom{\mathcal{V}}{3}$  we may define

$$\sigma(x, y, z) = \sigma(x, y)\sigma(y, z)\sigma(z, x) \in \{\pm 1\}.$$

A triple  $\{x, y, z\} \in {\mathcal{V} \choose 3}$  is called *coherent* or *non-coherent* according as  $\sigma(x, y, z) = 1$  or -1. The set of all coherent triples forms a two-graph on  $\mathcal{V}$ , denote by  $\Delta_{\sigma}$ ; and the set of non-coherent triples gives the complementary two-graph  $\overline{\Delta}_{\sigma}$ .

and the set of non-coherent triples gives the complementary two-graph  $\overline{\Delta}_{\sigma}$ . Two sign functions  $\sigma_1, \sigma_2 : {\mathcal{V} \choose 2} \to \{\pm 1\}$  (or the corresponding graphs  $\sigma_1^{-1}(1)$ ,  $\sigma_2^{-1}(1)$  on  $\mathcal{V}$ ) are *switching-equivalent* in the sense of Seidel [16] if there exists a map  $f : \mathcal{V} \to \{\pm 1\}$  such that  $\sigma_2(x,y) = f(x)f(y)\sigma_1(x,y)$  for all  $\{x,y\} \in {\mathcal{V} \choose 2}$ . We have  $\Delta_{\sigma_1} = \Delta_{\sigma_2}$  iff  $\sigma_1$  and  $\sigma_2$  are switching-equivalent. Assuming this holds, then the corresponding covers  $\widehat{\Gamma}_{\sigma_1}$  and  $\widehat{\Gamma}_{\sigma_2}$  are isomorphic via  $(x, \varepsilon) \mapsto (x, f(x)\varepsilon)$ .

In the special case of the complete graph  $\Gamma = K_{\mathcal{V}}$ , the following three notions are equivalent (see [6, §1.5]): two-graphs on  $\mathcal{V}$ , switching classes of graphs on  $\mathcal{V}$ , and isomorphism classes of double covers of the complete graph  $K_{\mathcal{V}}$ . For example given a double cover  $\widehat{K_{\mathcal{V}}} \to K_{\mathcal{V}}$ , the corresponding two-graph is obtained as follows (see [16, p.488]): Each triple  $\{x, y, z\}$  of distinct vertices in  $\mathcal{V}$  induces a triangle  $K_{\{x,y,z\}} \subseteq K_{\mathcal{V}}$ ; and such a triple is coherent iff its preimage in  $\widehat{K_{\mathcal{V}}}$  induces a pair of triangles, rather than a 6-cycle, in  $\widehat{K_{\mathcal{V}}}$ .

An automorphism of a two-graph  $\Delta$  is a permutation of the underlying point set  $\mathcal{V}$  which preserves the set of coherent triples. We now relate Aut  $\Delta$  to the group  $\operatorname{Aut}_{\zeta} \widehat{K} \leq \operatorname{Aut} \widehat{K}$  defined above for the associated double cover  $\widehat{K} \to K$ , where we abbreviate the complete graph  $K_{\mathcal{V}} = K$ . The following is easy to verify (or see [18, §2], where this isomorphism is denoted  $\widehat{G}/Z \cong G$ ):

**Proposition 3.1.** The group  $\operatorname{Aut}_{\zeta}\widehat{K}$  acts naturally on  $\Delta$ , inducing the full automorphism group of  $\Delta$ . The kernel of this action is the central subgroup  $\langle \zeta \rangle$  of order 2; thus  $(\operatorname{Aut}_{\zeta}\widehat{K})/\langle \zeta \rangle \cong \operatorname{Aut} \Delta$ .

# 4. Dual polar graphs of type Sp(2n, q), q odd

Fix a finite field  $\mathbb{F}_q$  of odd prime power order q; an integer  $n \geq 1$ ; a 2n-dimensional vector space V over  $\mathbb{F}_q$ ; and a symplectic (i.e. nondegenerate alternating) bilinear form  $B: V \times V \to \mathbb{F}_q$ . The symplectic group Sp(2n,q) consists of all (linear) isometries of B, i.e.

$$Sp(2n,q) = \{g \in GL(V) : B(x^g, y^g) = B(x,y) \text{ for all } x, y \in V\}.$$

The group of all (linear) similarities of B is

$$GSp(2n,q) = \{g \in GL(V) : \text{for some nonzero } \mu \in \mathbb{F}_q \text{ we have } B(x^g,y^g) = \mu B(x,y) \text{ for all } x,y \in V\};$$

some other notations for this group are  $GSp_n(q)$  in [12] or  $CSp_n(q)$  in [4, p.31]. Replacing GL(V) by  $\Gamma L(V) \cong GL(V) \rtimes \operatorname{Aut} \mathbb{F}_q$ , the group of all semilinear transformations of V, we obtain the group  $\Sigma Sp(2n,q)$  of all semi-isometries, and the group  $\Gamma Sp(2n,q)$  of all semi-similarities of B, given by

$$\begin{split} \Sigma Sp(2n,q) &= \{g \in \Gamma L(V) : \text{for some } \tau \in \text{Aut } \mathbb{F}_q \text{ we have} \\ &\quad B(x^g,y^g) = B(x,y)^\tau \text{ for all } x,y \in V \} \\ &\cong Sp(2n,q) \rtimes \text{Aut } \mathbb{F}_q; \\ &\Gamma Sp(2n,q) = \{g \in \Gamma L(V) : \text{for some nonzero } \mu \in \mathbb{F}_q \text{ and } \tau \in \text{Aut } \mathbb{F}_q \\ &\quad \text{we have } B(x^g,y^g) = \mu B(x,y)^\tau \text{ for all } x,y \in V \} \\ &\cong GSp(2n,q) \rtimes \text{Aut } \mathbb{F}_q. \end{split}$$

The projective versions of these groups are

$$\begin{split} PSp(2n,q) &= Sp(2n,q)/\langle -I\rangle, \\ PGSp(2n,q) &= GSp(2n,q)/Z, \\ P\Sigma Sp(2n,q) &= \Sigma Sp(2n,q)/\langle -I\rangle, \\ P\Gamma Sp(2n,q) &= \Gamma Sp(2n,q)/Z \end{split}$$

where the central subgroup Z of order q-1 consists of all scalar transformations  $v \mapsto \lambda v$  for  $0 \neq \lambda \in \mathbb{F}_q$ . We have

$$[P\Gamma Sp(2n,q):P\Sigma Sp(2n,q)]=[PGSp(2n,q):PSp(2n,q)]=2$$

where the nontrivial coset in both cases is represented by  $h \in GSp(2n, q)$  satisfying  $B(u^h, v^h) = \eta B(u, v)$  and  $\eta \in \mathbb{F}_q$  is a nonsquare.

Our choice of notation for these groups, while not universal, is intended to conform reasonably with [7, 12]. The group  $P\Gamma Sp(2n,q)$ , for example, is denoted  $PC\Gamma Sp_n(q)$  in [4, p.31]. It arises (see Theorem 4.1) as the full automorphism group of the associated dual polar graph, which we now describe.

Denote by  $\mathcal{V}$  be the collection of all maximal totally isotropic subspaces with respect to B, i.e.

$$\mathcal{V} = \{ X \leqslant V : X^{\perp} = X \}$$

where by definition  $X^{\perp} = \{v \in V : B(x,v) = 0 \text{ for all } x \in X\}$ . Members of  $\mathcal{V}$  are often called *generators*, and every  $X \in \mathcal{V}$  has dimension n. Denote by  $\Gamma = \Gamma(2n,q)$  the graph on  $\mathcal{V}$  where two vertices  $X,Y \in \mathcal{V}$  are adjacent iff  $X \cap Y$  has codimension 1 in both X and Y. More generally, the distance between X and Y in  $\Gamma$  is  $d(X,Y) = k \in \{0,1,2,\ldots,n\}$  where the subspace  $X \cap Y$  has codimension k in both X and Y. Let  $\Gamma_k$  denote the graph of the distance-k relation on  $\mathcal{V}$ ; i.e.  $\Gamma_k$  has vertex set  $\mathcal{V}$  and two vertices  $X,Y \in \mathcal{V}$  are adjacent in  $\Gamma_k$  iff d(X,Y) = k. The graph  $\Gamma_1 = \Gamma$  is called the *dual polar graph of type*  $\operatorname{Sp}(2n,q)$ . It is *distance regular*: given any two vertices X,Y in  $\Gamma$  at distance  $k \in \{0,1,2,\ldots,n\}$ , the vertex Y has  $q^{\binom{n-k}{2}}\binom{n}{k}$  neighbors Z in  $\Gamma$ , of which

$$a_k = q^k - 1$$
 are at distance  $k$  from  $X$ ,  
 $b_k = q^{k+1} {n-k \brack 1}$  are at distance  $k+1$  from  $X$ , and  $c_k = {k \brack 1}$  are at distance  $k-1$  from  $X$ ;

see [6, §9.4]. The edges of  $\Gamma_1, \Gamma_2, \ldots, \Gamma_n$  partition the non-identical pairs on  $\mathcal{V}$ , viewed as the edges of the complete graph  $K_{\mathcal{V}}$ ; and together with the identity relation  $\Gamma_0 = \{(X, X) : X \in \mathcal{V}\}$  we obtain an *n*-class association scheme on  $\mathcal{V}$  (see Section 6). This scheme is P-polynomial since  $\Gamma$  is distance regular; see [6].

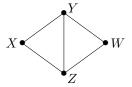
**Theorem 4.1.** For  $n \ge 2$ , the full automorphism group of  $\Gamma = \Gamma(2n, q)$  is the group  $P\Gamma Sp(2n, q)$  acting naturally on the projective space of V.

PROOF. See [6, p.275] (where this group is however denoted  $P\Sigma p(2n,q)$ ).  $\square$ 

Note that when n = 1, the dual polar graph  $\Gamma(2, q)$  is simply the complete graph  $K_{q+1}$ , whose full automorphism group is the symmetric group of degree q + 1.

For use in Section 5 we record the following well-known fact. Although it follows easily from the axioms of polar geometry (or of near polygons), in the interest of self-containment we include a proof.

**Lemma 4.2.** The 'diamond' graph (as shown) is not an induced subgraph of the dual polar graph  $\Gamma$ .



PROOF. If X, Y, Z are mutually adjacent as shown, then  $X \cap Y$  and  $X \cap Z$  are distinct subspaces of codimension 1 in X, so  $X = (X \cap Y) + (X \cap Z)$ , whence  $X \subseteq Y + Z$ . Thus  $X = X^{\perp} \supseteq Y^{\perp} \cap Z^{\perp} = Y \cap Z$ . Similarly,  $W \supseteq Y \cap Z$ . Now  $X \cap W$  contains a subspace of dimension n-1, contradicting  $d(X, W) \geqslant 2$ .  $\square$ 

Now let X be any n-dimensional vector space over  $\mathbb{F}_q$ . An n-linear form  $f: X^n \to \mathbb{F}_q$  (i.e. linear in each argument whenever the other n-1 arguments are fixed) is alternating if  $f(x_1, x_2, \ldots, x_n) = 0$  whenever two  $x_i$ 's coincide; equivalently,  $f(x_{1^\tau}, x_{2^\tau}, \ldots, x_{n^\tau}) = -f(x_1, x_2, \ldots, x_n)$  for every odd permutation  $\tau$  of the indices. The space of all such alternating forms is one-dimensional, and is canonically identified with  $(\bigwedge^n X)^*$ , the dual space of  $\bigwedge^n X$ . A determinant function on X is any nonzero alternating form  $X^n \to \mathbb{F}_q$ . Since  $\dim(\bigwedge^n X)^* = 1$ , a determinant function is determined up to nonzero scalar multiple.

Fix a choice of determinant function  $\delta_X$  for each  $X \in \mathcal{V}$ . Although these choices are not canonical, one may proceed by arbitrarily choosing a basis  $\psi_1, \psi_2, \dots, \psi_n$  for  $X^* = \text{Hom}(X, \mathbb{F}_q)$ ; then we obtain a determinant function on X by defining

$$\delta_X(x_1, x_2, \dots, x_n) = \det(\psi_i^*(x_i) : 1 \le i, j \le n).$$

We need to define  $\sigma(X,Y) \in \{\pm 1\}$  for any pair  $X \neq Y$  in  $\mathcal{V}$ . Let  $k \in \{1,2,\ldots,n\}$  be the codimension of  $X \cap Y$  in both X and Y. Choose bases  $x_1,x_2,\ldots,x_n$  and  $y_1,y_2,\ldots,y_n$  for X and Y respectively, such that  $x_i=y_i$  (for  $k < i \leq n$ ) is a common basis for  $X \cap Y$ . (These bases depend on the choice of pair (X,Y) and so are unrelated to any bases for X and Y used as a crutch for constructing the corresponding determinant functions). Define

$$\sigma(X,Y) = \chi(\delta_X(x_1, x_2, \dots, x_n)\delta_Y(y_1, y_2, \dots, y_n) \det[B(x_i, y_j) : 1 \leqslant i, j \leqslant k])$$

where  $\chi: \mathbb{F}_q^{\times} \to \{\pm 1\}$  is the quadratic character:  $\chi(a) = 1$  or -1 according as  $a \in \mathbb{F}_q^{\times}$  is a square or a nonsquare. This definition is implicit in [19, 11]; and inspired by the literature, we refer to  $\sigma(X,Y)$  (or the ternary function  $\sigma(X,Y,Z)$ )

defined below) as the *Maslov index*. Note that B induces a nondegenerate bilinear form on the 2k-space  $(X+Y)/(X\cap Y)$ , so that the  $k\times k$  matrix  $[B(x_i,y_j):1\leqslant i,j\leqslant k]$  is nonsingular.

**Proposition 4.3.** Let  $X, Y \in \mathcal{V}$  at distance  $d(X, Y) = k \in \{0, 1, 2, ..., n\}$ .

- (i) The value of  $\sigma(X,Y)$  is independent of the choice of bases  $x_i$  and  $y_j$  as above.
- (ii) Its dependence on the choice of determinant functions is expressed as follows: Replacing  $\delta_X$  by  $c\delta_X$  has the effect of multiplying  $\sigma(X,Y)$  by  $\chi(c)$ .
- (iii)  $\sigma(Y, X) = \chi(-1)^k \sigma(X, Y) = (-1)^{k(q-1)/2} \sigma(X, Y).$
- (iv) Let  $g \in \Gamma Sp(2n,q)$ , so that there exists a nonzero scalar  $\mu_g \in \mathbb{F}_q$  and  $\tau_g \in \operatorname{Aut} \mathbb{F}_q$  satisfying  $B(x^g,y^g) = \mu_g B(x,y)^{\tau_g}$  for all  $x,y \in Y$ . Then there exist nonzero scalars  $\lambda_{g,U} \in \mathbb{F}_q$  for  $U \in \mathcal{V}$ , such that

$$\sigma(X^g,Y^g) = \chi \big( \mu_q^k \lambda_{g,X} \lambda_{g,Y} \big) \sigma(X,Y).$$

PROOF. Consider a change of basis on  $X \cap Y$  specified by  $x_i' = y_i' = \sum_{k < j \le n} a_{ij} x_j$  where  $A = (a_{ij} : k < i, j \le n)$  is any invertible  $(n - k) \times (n - k)$  matrix. Then

$$\delta_X(x_1, \dots, x_k, x'_{k+1}, \dots, x'_n) = (\det A)\delta_X(x_1, \dots, x_n)$$

and  $\delta_Y(y_1,\ldots,y_n)$  is multiplied by the same factor,  $\det A$ . The  $(n-k)\times (n-k)$  matrix  $[B(x_i,y_j):k< i,j\leqslant n]$  is unchanged, so the value of  $\sigma(X,Y)$  is multiplied by a net factor of  $\chi((\det A)^2)=1$ .

Next consider replacing  $x_1, \ldots, x_k$  by  $x'_1, \ldots, x'_k$  where

$$x_i' \equiv \sum_{1 \le i \le k} a_{ij} x_j \mod (X \cap Y)$$

for  $i=1,2,\ldots,k$  where A is an invertible  $k\times k$  matrix, and we leave the basis of Y unchanged. Then

$$\delta_X(x_1', \dots, x_k', x_{k+1}, \dots, x_n) = (\det A)\delta_X(x_1, \dots, x_n);$$
  
$$\det \left[ B(x_i', y_j) : 1 \leqslant i, j \leqslant k \right] = (\det A) \det \left[ B(x_i, y_j) : 1 \leqslant i, j \leqslant k \right]$$

and the  $\delta_Y$  factor is unchanged; so once again, the value of  $\sigma(X,Y)$  is multiplied by  $\chi((\det A)^2) = 1$ . The same argument applies if  $y_1, \ldots, y_k$  are replaced by  $y'_1, \ldots, y'_k$ , and so (i) follows. Conclusion (ii) is clear.

Interchanging X and Y has the effect of interchanging the  $\delta_X$  and  $\delta_Y$  factors, and replacing

$$\begin{split} \left[ B(x_i, y_j) : 1 \leqslant i, j \leqslant k \right] \mapsto \\ \left[ B(y_i, x_j) : 1 \leqslant i, j \leqslant k \right] = - \left[ B(x_i, y_j) : 1 \leqslant i, j \leqslant k \right]. \end{split}$$

The determinant of this matrix accrues a factor of  $(-1)^k$ , whence (iii) holds.

Let  $g \in \Gamma Sp(2n,q)$ . There exists a nonzero  $\mu_g \in \mathbb{F}_q$  and  $\tau_g \in \operatorname{Aut} \mathbb{F}_q$  such that  $(au + bv)^g = a^{\tau_g} u^g + b^{\tau_g} v^g$  and  $B(u^g, v^g) = \mu_g B(u, v)^{\tau_g}$  for all  $a, b \in \mathbb{F}_q$  and  $u, v \in V$ . Now the map

$$X^n \to \mathbb{F}_q, \quad (x_1, x_2, \dots, x_n) \mapsto \delta_{X^g} (x_1^g, x_2^g, \dots, x_n^g)^{\tau_g^{-1}}$$

is a determinant function on X, so it is a scalar multiple of  $\delta_X(x_1, x_2, \dots, x_n)$ . Hence there exists a nonzero scalar  $\lambda_X = \lambda_{q,X} \in \mathbb{F}_q$  such that

$$\delta_{X^g}\left(x_1^g, x_2^g, \dots, x_n^g\right) = \lambda_{g,X} \delta_X(x_1, x_2, \dots, x_n)^{\tau_g}$$

for all  $x_1, x_2, \ldots, x_n \in X$ .

Now given  $X, Y \in \mathcal{V}$  at distance k, fix bases  $x_i, y_i$  as before; then

$$\begin{split} \sigma(X^g,Y^g) &= \chi \left( \delta_{X^g}(x_1^g,x_2^g,\ldots,x_n^g) \delta_{Y^g}(y_1^g,y_2^g,\ldots,y_n^g) \right. \\ &\quad \times \det \left[ B(x_i^g,y_j^g) : 1 \leqslant i,j \leqslant k \right] \right) \\ &= \chi \left( \lambda_{g,X} \delta_X(x_1,x_2,\ldots,x_n)^{\tau_g} \lambda_{g,Y} \delta_Y(y_1,y_2,\ldots,y_n)^{\tau_g} \right. \\ &\quad \times \det \left[ \mu_g B(x_i,y_j)^{\tau_g} : 1 \leqslant i,j \leqslant k \right] \right) \\ &= \chi \left( \mu_g^k \lambda_{g,X} \lambda_{g,Y} \right) \chi \left( \delta_X(x_1,x_2,\ldots,x_n)^{\tau_g} \delta_Y(y_1,y_2,\ldots,y_n)^{\tau_g} \right. \\ &\quad \times \det \left[ B(x_i,y_j) : 1 \leqslant i,j \leqslant k \right]^{\tau_g} \right) \\ &= \chi \left( \mu_g^k \lambda_{g,X} \lambda_{g,Y} \right) \sigma(X,Y) \end{split}$$

since  $\chi(a^{\tau}) = \chi(a)$ . This proves (iv).

For each triple (X, Y, Z) with distinct  $X, Y, Z \in \mathcal{V}$ , define

$$\sigma(X, Y, Z) = \sigma(X, Y)\sigma(Y, Z)\sigma(Z, X) \in \{\pm 1\}.$$

A triple (X, Y, Z) of distinct elements of  $\mathcal{V}$  is *coherent* or *non-coherent* according as  $\sigma(X, Y, Z) = 1$  or -1.

**Theorem 4.4.** Suppose  $q \equiv 1 \mod 4$ . Then the set of coherent triples forms a two-graph  $\Delta_{\sigma}$  on V, invariant under  $P\Sigma Sp(2n,q)$ .

PROOF. Let  $X, Y, Z, W \in \mathcal{V}$  be distinct. Since  $\chi(-1) = 1$ , (X, Y, Z) is coherent iff any permutation of its members yields a coherent triple; so the set of coherent triples may be regarded as a collection of unordered triples  $\{X, Y, Z\}$ . Since

$$\sigma(X,Y,Z)\sigma(X,Y,W)\sigma(X,Z,W)\sigma(Y,Z,W)$$

$$= \sigma(X, Y)^2 \sigma(X, Z)^2 \cdots \sigma(Z, W)^2 = 1,$$

evenly many of the triples in  $\{X,Y,Z,W\}$  are coherent. If  $g \in \Gamma Sp(2n,q)$  with  $B(x^g,y^g)=\mu_g B(x,y)^{\tau_g}$ , then

$$\begin{split} \sigma(X^g,Y^g,Z^g) &= \chi(\mu_g^{d(X,Y)}\lambda_{g,X}\lambda_{g,Y})\chi(\mu_g^{d(Y,Z)}\lambda_{g,Y}\lambda_{g,Z}) \\ &\quad \times \chi(\mu_g^{d(Z,X)}\lambda_{g,Z}\lambda_{g,X})\sigma(X,Y,Z) \\ &= \chi(\mu_g)^{d(X,Y)+d(Y,Z)+d(Z,X)}\sigma(X,Y,Z). \end{split}$$

In particular when  $g \in \Sigma Sp(2n,q), \ \mu_g = 1 \ \text{and} \ \sigma(X^g,Y^g,Z^g) = \sigma(X,Y,Z). \ \Box$ 

If  $q \equiv 3 \mod 4$ , or  $g \in P\Gamma Sp(2n,q)$  with  $g \notin P\Sigma Sp(2n,q)$ , the situation is a little trickier: various subsets of the coherent triples form either two-graphs or skew two-graphs in the sense of [14], invariant under Sp(2n,q). We ignore this case here, and henceforth assume that

$$q \equiv 1 \mod 4$$
.

We next show that in a geodesic path, every triple of vertices is coherent.

**Lemma 4.5.** Suppose  $q \equiv 1 \mod 4$ . Let  $X, Y, Z \in \mathcal{V}$  such that d(X, Y) = j, d(Y, Z) = k - j and d(X, Z) = k where  $1 \leq j < k \leq n$ . Then  $\sigma(X, Y, Z) = 1$ .

PROOF. Choose a hyperbolic basis  $e_1, e_2, \ldots, e_n, f_1, f_2, \ldots, f_n$  for V, so that  $B(e_i, e_j) = B(f_i, f_j) = 0$  and  $B(e_i, f_j) = \delta_{ij}$ . Since Sp(2n, q) is transitive on triples of generators satisfying the given distance constraints, by Theorem 4.4 we may suppose that

$$X = \langle e_1, e_2, \dots, e_n \rangle, \quad Y = \langle f_1, f_2, \dots, f_j, e_{j+1}, e_{j+2}, \dots, e_n \rangle,$$
  
 $Z = \langle f_1, f_2, \dots, f_k, e_{k+1}, e_{k+2}, \dots, e_n \rangle.$ 

We choose the determinant function  $\delta_X$  on X given by

$$\delta_X(x_1, x_2, \dots, x_n) = \det[B(x_i, f_j) : 1 \le i, j \le n] \text{ for } x_1, x_2, \dots, x_n \in X;$$

this is nothing other than the determinant of the  $n \times n$  matrix whose columns are the coordinates of  $x_1, \ldots, x_n$  with respect to the basis  $e_1, e_2, \ldots, e_n$ . The determinant functions  $\delta_Y$ ,  $\delta_Z$  on Y and on Z are defined similarly, using the bases for Y and on Z listed above. The computation of  $\sigma(X, Z)$  is simplified by the fact that a basis for  $X \cap Z$  is  $e_{k+1}, e_{k+2}, \ldots, e_n$ . We have

$$\delta_X(e_1, e_2, \dots, e_n) = \delta_Z(f_1, \dots, f_k, e_{k+1}, \dots, e_n) = 1$$

and  $[B(e_i, f_j): 1 \leq i, j \leq k]$  is a  $k \times k$  identity matrix, with determinant 1; thus  $\sigma(X, Z) = 1$ . Exactly the same reasoning gives  $\sigma(X, Y) = \sigma(Y, Z) = 1$ , so  $\sigma(X, Y, Z) = 1$ .

In the case of triples X, Y, Z not lying on geodesic paths, however,  $\sigma$  (or its two-graph  $\Delta_{\sigma}$ ) yields interesting nontrivial information. In particular, the restriction of  $\Delta_{\sigma}$  to partial spreads (sets of vertices of  $\Gamma$  mutually at distance n) was investigated in [14, §6]. Here we consider triangles in  $\Gamma$ :

**Lemma 4.6.** Suppose  $q \equiv 1 \mod 4$ . Let  $X, Y \in \mathcal{V}$  such that d(X, Y) = 1, i.e. X and Y are adjacent in  $\Gamma$ . There are  $a_1 = q-1$  common neighbors Z of X and Y in  $\Gamma$ ; and exactly half of the resulting triples (X, Y, Z) are coherent.

PROOF. Choose a hyperbolic basis  $e_i$ ,  $f_i$  as in the proof of Lemma 4.5. Again without loss of generality,

$$X = \langle e_1, e_2, \dots, e_n \rangle, \quad Y = \langle f_1, e_2, \dots, e_n \rangle, \quad Z = \langle e_1 + \alpha f_1, e_2, \dots, e_n \rangle$$

where  $0 \neq \alpha \in \mathbb{F}_q$ . The q-1 choices of  $\alpha$  give exactly the q-1 common neighbors of X and Y in  $\Gamma$ . These bases of X,Y,Z give rise to natural choices of determinant functions  $\delta_X$ ,  $\delta_Y$ ,  $\delta_Z$  as described in the proof of Lemma 4.5. When computing  $\sigma(X,Y), \sigma(Y,Z), \sigma(Z,X)$ , we use  $e_2, e_3, \ldots, e_n$  as the basis of  $X \cap Y = X \cap Z = Y \cap Z$ . Now

$$\delta_X(e_1, e_2, \dots, e_n) = \delta_Z(e_1 + \alpha f_1, e_2, \dots, e_n) = 1$$

and  $B(e_1, e_1 + \alpha f_1) = \alpha$ , so  $\sigma(X, Z) = \chi(\alpha)$ . Similarly,  $\sigma(X, Y) = \sigma(Y, Z) = 1$  and

$$\sigma(X, Y, Z) = \chi(\alpha).$$

Since exactly half the nonzero elements of  $\mathbb{F}_q$  are squares, the result follows.  $\square$ 

**Theorem 4.7.** Suppose  $q \equiv 1 \mod 4$ . Let  $X, Y \in \mathcal{V}$  such that d(X, Y) = k. Then Y has exactly  $a_k = q^k - 1$  neighbors  $Z \in \mathcal{V}$  at distance k from X in  $\Gamma$ ; and exactly half of the resulting triples (X, Y, Z) are coherent.

PROOF. The result holds for k=1 by Lemma 4.6, so we may assume  $k\geqslant 2$ . Given  $X,Y\in \mathcal{V}$  with d(X,Y)=k, there are  ${k\brack 1}$  choices of hyperplane H< Y containing  $X\cap Y$ . Each such H yields an  $\operatorname{Sp}(2,q)$ -space  $H^\perp/H$ , which contains q+1 subspaces of the form Z/H with  $Z\in \mathcal{V}$ . One such Z has distance k-1 from X, this being the subspace  $W=(Y\cap Z)+X\cap (Y+Z)=(Y+Z)\cap (X+(Y\cap Z))$ . If we exclude W and Y itself, this leaves exactly q-1 choices of Z having the required distances from X and Y; and this gives  $(q-1){k\brack 1}=q^k-1=a_k$  choices of Z, the full number. But for how many such Z is the resulting triple (X,Y,Z) coherent? In each case  $\sigma(X,W,Y)=\sigma(X,W,Z)=1$  by Lemma 4.5; therefore  $\sigma(X,Y,Z)=\sigma(W,Y,Z)$ . But by Lemma 4.6, given W,Y at distance 1, exactly half of the q-1 choices of Z yield coherent triples (W,Y,Z). Therefore among the  $a_k=(q-1){k\brack 1}$  triples (X,Y,Z) with fixed X and Y, exactly  $\frac{q-1}{2}{k\brack 1}=(q^k-1)/2$  such triples are coherent.

# 5. The Double Cover $\widehat{\Gamma} \to \Gamma$

The resulting double cover  $\widehat{\Gamma} = \widehat{\Gamma}(2n,q) \to \Gamma(2n,q)$  has vertex set  $\widehat{\mathcal{V}} = \mathcal{V} \times \{\pm 1\}$  and adjacency relation

$$(X,\varepsilon) \sim (Y,\varepsilon') \iff d(X,Y) = 1 \text{ and } \varepsilon\varepsilon' = \sigma(X,Y).$$

The covering map is given by  $(X, \varepsilon) \mapsto X$ .

**Theorem 5.1.** Every geodesic path

$$X_0 \sim X_1 \sim \cdots \sim X_k$$

in  $\Gamma$  (meaning that  $d(X_i, X_j) = |j - i|$ ) lifts to exactly two paths

$$(X_0, \varepsilon_0) \sim (X_1, \varepsilon_1) \sim \cdots \sim (X_k, \varepsilon_k)$$

in  $\widehat{\Gamma}$ , in which  $\varepsilon_k = \varepsilon_0 \sigma(X_0, X_k)$  for each  $k \geqslant 1$ ; thus any one of the  $\varepsilon_i$  determines all the others along this path.

PROOF. We have  $\varepsilon_1 = \varepsilon_0 \sigma(X_0, X_1)$  by definition of adjacency in  $\widehat{\Gamma}$ . Assuming that  $\varepsilon_i = \varepsilon_0 \sigma(X_0, X_i)$  for some  $i \in \{1, 2, ..., k-1\}$ ,

$$\varepsilon_{i+1} = \varepsilon_i \sigma(X_i, X_{i+1}) = \varepsilon_0 \sigma(X_0, X_i) \sigma(X_i, X_{i+1}) = \varepsilon_0 \sigma(X_0, X_{i+1})$$

since  $\sigma(X_0, X_i, X_{i+1}) = 1$  by Lemma 4.5.

However, not every geodesic path in  $\widehat{\Gamma}$  is obtained by lifting a geodesic path in  $\Gamma$ . For example if (X,Y,Z) is an incoherent triangle in  $\Gamma$ , say with  $\sigma(X,Y)=\varepsilon$ ,  $\sigma(Y,Z)=\varepsilon'$  and  $\sigma(X,Z)=-\varepsilon\varepsilon'$ , then

$$(X,1) \sim (Y,\varepsilon) \sim (Z,\varepsilon\varepsilon') \sim (X,-1)$$

is a geodesic path of length 3 in  $\widehat{\Gamma}$ , obtained by lifting a closed path of length 3 (not a geodesic path) in  $\Gamma$ .

**Lemma 5.2.** Let  $X_0 \sim X_1 \sim \cdots \sim X_k$  be a geodesic path of length  $k \ge 1$  in  $\Gamma$ , so that  $d(X_i, X_j) = |j - i|$ , and let  $\varepsilon, \varepsilon' \in \{\pm 1\}$ . Then  $(X_0, \varepsilon)$  and  $(X_k, \varepsilon')$  have distance k or k+1 in  $\widehat{\Gamma}$ , according as  $\sigma(X_0, X_k) = \varepsilon \varepsilon'$  or  $-\varepsilon \varepsilon'$ . In particular, the diameter of  $\widehat{\Gamma}$  is  $\max\{n+1,3\}$ .

PROOF. If  $\sigma(X_0, X_k) = \varepsilon \varepsilon'$ , then we have a path

$$(X_0, \varepsilon_0) \sim (X_1, \varepsilon_1) \sim \cdots \sim (X_k, \varepsilon_k)$$

in  $\widehat{\Gamma}$  where  $\varepsilon_i = \varepsilon_0 \sigma(X_0, X_i)$  for i = 1, 2, ..., k; in particular if  $\varepsilon_0 = \varepsilon$  then  $\varepsilon_k = \varepsilon'$ . Clearly this path in  $\widehat{\Gamma}$  is shortest possible.

Now suppose  $\sigma(X_0, X_k) = -\varepsilon \varepsilon'$ . We first obtain a path

$$(X_0,\varepsilon) \sim (X_1,\varepsilon_1) \sim \cdots \sim (X_{k-1},\varepsilon_{k-1})$$

in  $\widehat{\Gamma}$  where  $\varepsilon_i = \varepsilon_0 \sigma(X_0, X_i)$  for  $i = 1, 2, \dots, k-1$ . Let  $Y \in \mathcal{V}$  be adjacent to both  $X_{k-1}$  and  $X_k$  in  $\Gamma$ , such that  $\sigma(X_{k-1}, Y, X_k) = -1$ . (By Lemma 4.6, there are  $\frac{q-1}{2} \geqslant 1$  choices of such  $Y \in \mathcal{V}$ .) Appending

$$(X_{k-1}, \varepsilon_{k-1}) \sim (Y, \varepsilon'') \sim (X_k, \varepsilon'),$$

where  $\varepsilon'' = \varepsilon_{k-1}\sigma(X_{k-1}, Y) = \varepsilon'\sigma(Y, X_k)$ , we obtain a path of length k+1 from  $(X_0, \varepsilon)$  to  $(X_k, \varepsilon')$  in  $\widehat{\Gamma}$ ; once again this path is shortest possible.

The fibers of the covering map  $\widehat{\Gamma} \to \Gamma$  are the *antipodal* pairs  $\{(X,1),(X,-1)\}$  for  $X \in \mathcal{V}$ .

**Lemma 5.3.** Let  $(X, \varepsilon)$  and  $(W, \varepsilon')$  be any two vertices of  $\widehat{\Gamma}$ . Then  $(X, \varepsilon)$  and  $(W, \varepsilon')$  are antipodal iff they are at distance 3 in  $\widehat{\Gamma}$  and are joined by exactly  $\frac{1}{2}q(q^n-1)$  paths of length 3 in  $\widehat{\Gamma}$ .

PROOF. Consider a typical antipodal pair  $\{(X,1),(X,-1)\}$  where  $X \in \mathcal{V}$ . There exist  $b_0 = q {n \brack 1}$  vertices  $Y \in \mathcal{V}$  adjacent to X in  $\Gamma$ ; and each such vertex Y has  $a_1 = q-1$  neighbors Z in common with X. By Lemma 4.6, exactly half of these choices of the vertex Z yield coherent triples (X,Y,Z). In particular, X lies in exactly  $b_0 \cdot \frac{1}{2} a_1 = \frac{1}{2} q (q^n - 1)$  incoherent triples (X,Y,Z), giving the same number of paths  $(X,1) \sim (Y,\varepsilon) \sim (Z,\varepsilon') \sim (X,-1)$  of length 3 in  $\widehat{\Gamma}$ . There is no path of length < 3 from (X,1) to (X,-1) in  $\widehat{\Gamma}$ , otherwise the covering map would give a closed path of length < 3 from X to X in  $\Gamma$ . This shows that any two antipodal vertices (X,1),(X,-1) are at distance 3 in  $\widehat{\Gamma}$ ; and in each case there are exactly  $\frac{1}{2}q(q^n-1)$  geodesic paths from (X,1) to (X,-1).

Conversely, let  $(X, \varepsilon)$  and  $(W, \varepsilon')$  be any two vertices at distance 3 in  $\widehat{\Gamma}$ . By Lemma 5.2,  $d(X, W) \in \{0, 2, 3\}$  in  $\Gamma$ . Consider first the case that d(X, W) = 3; then by Theorem 5.1, every geodesic path from  $(X, \varepsilon)$  to  $(W, \varepsilon')$  in  $\widehat{\Gamma}$  arises from a unique geodesic path  $X \sim Y \sim Z \sim W$  in  $\Gamma$ . There are exactly  $c_3c_2c_1 = (q^2+q+1)(q+1)$  such geodesic paths from X to W; and this number clearly cannot equal  $\frac{1}{2}q(q^n-1)$ .

Next suppose d(X, W) = 2 in  $\Gamma$ . For every geodesic path

$$(X,\varepsilon) \sim (Y,\varepsilon'') \sim (Z,\varepsilon''') \sim (W,\varepsilon')$$

in  $\widehat{\Gamma}$ , we have  $X \sim Y \sim Z \sim W$  in  $\Gamma$ . Further, the condition d(X,W)=2 requires either  $X \sim Z$  or  $Y \sim W$  (but not both, by Lemma 4.2). We first count geodesic paths satisfying  $X \sim Z$ , noting that the vertex W has  $c_2=q+1$  neighbors Z in common with X; and in each case  $\sigma(X,Z,W)=1$  by Lemma 4.5. Moreover Z has  $a_1=q-1$  neighbors Y in common with X (all of which satisfy  $\sigma(Y,Z,W)=1$ , again by Lemma 4.5). By the two-graph condition, we have  $\sigma(X,Y,Z)=-1$  iff  $\sigma(X,Y,W)=-1$ . By Lemma 4.6, for each Z there are exactly  $\frac{1}{2}(q-1)$  choices of Y satisfying the latter condition; and each such pair (Y,Z) yields a unique geodesic path  $(X,\varepsilon) \sim (Y,\varepsilon'') \sim (Z,\varepsilon''') \sim (W,\varepsilon')$ . We obtain  $(q+1)\cdot\frac{1}{2}(q-1)=\frac{1}{2}(q^2-1)$  geodesic paths in this case. There are another  $\frac{1}{2}(q^2-1)$  geodesic paths from  $(X,\varepsilon)$  to  $(W,\varepsilon')$  satisfying  $Y\sim W$ , for a total of  $q^2-1$  geodesic paths. Once again, this number cannot equal  $\frac{1}{2}q(q^n-1)$ .

**Lemma 5.4.** Aut  $\widehat{\Gamma}$  acts naturally on  $\Gamma$ , with kernel  $\langle \zeta \rangle$ , inducing a proper subgroup Aut  $\widehat{\Gamma}/\langle \zeta \rangle <$  Aut  $\Gamma$ .

PROOF. By Lemma 5.3, Aut  $\widehat{\Gamma}$  permutes fibres of the covering map  $\widehat{\Gamma} \to \Gamma$ , and so Aut  $\widehat{\Gamma}$  acts naturally on  $\Gamma$ . It remains to be shown that the induced subgroup Aut  $\widehat{\Gamma}/\langle \zeta \rangle \leq \operatorname{Aut} \Gamma$  is proper.

Choose a hyperbolic basis  $e_1, e_2, \ldots, e_n, f_1, f_2, \ldots, f_n$  for V, so that  $B(e_i, e_j) = B(f_i, f_j) = 0$  and  $B(e_i, f_j) = \delta_{ij}$ , and let  $\eta \in \mathbb{F}_q$  be a nonsquare. Consider the subspaces  $X, Y, Z, Z' \in \mathcal{V}$  defined by  $X = \langle e_1, e_2, \ldots, e_n \rangle$ ,  $Y = \langle f_1, e_2, \ldots, e_n \rangle$ ,  $Z = \langle e_1 + f_1, e_2, \ldots, e_n \rangle$  and  $Z' = \langle e_1 + \eta f_1, e_2, \ldots, e_n \rangle$ . By straightforward computation,  $\sigma(X, Y, Z) = 1$  and  $\sigma(X, Y, Z') = -1$ . Now consider  $g \in GL(V)$  mapping our original ordered basis to the new ordered basis  $e_1, \eta e_2, \ldots, \eta e_n, \eta f_1, f_2, \ldots, f_n$  so that  $B(u^g, v^g) = \eta B(u, v)$  for all  $u, v \in V$ 

V; thus  $g \in GSp(2n,q)$  induces an automorphism of the dual polar graph  $\Gamma = \Gamma(2n,q)$ . However, g maps the coherent triple  $\{X,Y,Z\}$  to the non-coherent triple  $\{X,Y,Z'\}$  and so does not preserve  $\Delta_{\sigma}$ . If g were induced by an automorphism of  $\widehat{\Gamma}$ , this automorphism would map  $\{X,Y,Z\} \times \{\pm 1\}$  to  $\{X,Y,Z'\} \times \{\pm 1\}$ . However, the induced subgraphs of  $\widehat{\Gamma}$  on these two 6-sets of vertices are not isomorphic (a pair of triangles and a 6-cycle, respectively; see Section 3).

The natural action of  $\Sigma Sp(2n,q)$  on  $\mathcal{V}$  lifts to an action on  $\widehat{\mathcal{V}}$  as follows: Let  $g \in \Sigma Sp(2n,q)$  with associated field automorphism  $\tau_g$  in the earlier notation of this section. Given  $(U,\varepsilon) \in \widehat{\mathcal{V}}$ , the map

$$U^n \to \mathbb{F}_q, \quad (u_1, u_2, \dots, u_n) \mapsto \delta_{U^g}(u_1^g, u_2^g, \dots, u_n^g)^{\tau_g^{-1}}$$

is a determinant function; so there exists a nonzero constant  $\lambda_{g,U} \in \mathbb{F}_q$  such that

$$\delta_{U^g}(u_1^g, u_2^g, \dots, u_n^g) = \lambda_{g,U} \delta_U(u_1, u_2, \dots, u_n)^{\tau_g}.$$

Define  $(U,\varepsilon)^g=(U^g,\chi(\lambda_{g,U})\varepsilon)$ . One easily checks that this defines an action of  $\Sigma Sp(2n,q)$  on  $\widehat{\mathcal{V}}$ . The central element  $-I\in Sp(2n,q)$  fixes every  $U\in\mathcal{V}$  and since

$$\delta_U(-u_1, -u_2, \dots, -u_n) = (-1)^n \delta_U(u_1, u_2, \dots, u_n)$$

where  $\chi(-1)^n = 1$ , -I acts trivially on  $\widehat{\mathcal{V}}$ ; thus  $\Sigma Sp(2n,q)$  induces a permutation group  $P\Sigma Sp(2n,q)$  on  $\widehat{\mathcal{V}}$ . The transposition  $\zeta$  which exchanges antipodal vertices via  $(U,1) \stackrel{\zeta}{\leftrightarrow} (U,-1)$  is not induced by any element of  $P\Sigma Sp(2n,q)$  since  $Z(P\Sigma Sp(2n,q)) = 1$ , so we obtain a permutation group  $\langle \zeta \rangle \times P\Sigma Sp(2n,q)$  acting faithfully on  $\widehat{\mathcal{V}}$ . We show that this permutation group preserves the graph  $\widehat{\Gamma}$ , and is in fact its full automorphism group:

**Theorem 5.5.** Aut  $\widehat{\Gamma} \cong 2 \times P\Sigma Sp(2n,q)$  where this group acts as defined above. The full automorphism group of the two-graph associated to  $\sigma$  is Aut  $\Delta_{\sigma} \cong P\Sigma Sp(2n,q)$ .

PROOF. Suppose  $(X, \varepsilon) \sim (Y, \varepsilon')$  in  $\widehat{\Gamma}$ , so that  $\sigma(X, Y) = \varepsilon \varepsilon'$ ; and let  $g \in \Sigma Sp(2n, q)$  with  $\tau_q \in \operatorname{Aut} \mathbb{F}_q$  as above. Then by Proposition 4.3(iv) we have

$$\sigma(X^g, Y^g) = \chi(\lambda_{g,X}\lambda_{g,Y})\sigma(X,Y) = (\chi(\lambda_{g,X})\varepsilon)(\chi(\lambda_{g,Y})\varepsilon')$$

so that by definition,  $(X, \varepsilon)^g \sim (Y, \varepsilon')^g$ . Thus  $P\Sigma Sp(2n, q)$ , acting on  $\widehat{\Gamma}$  as defined above, preserves the graph  $\widehat{\Gamma}$ . It is clear that the central factor  $\langle \zeta \rangle$  also preserves  $\widehat{\Gamma}$ , so that  $\operatorname{Aut}\widehat{\Gamma}$  has a subgroup isomorphic to  $\langle \zeta \rangle \times P\Sigma Sp(2n, q)$ . Moreover by Proposition 3.1,  $\operatorname{Aut}\widehat{\Gamma}/\langle \zeta \rangle \cong \operatorname{Aut}\Delta_{\sigma}$ . (We use the fact that by Lemma 5.4,  $\operatorname{Aut}_{\widehat{\Gamma}}\widehat{\Gamma} = \operatorname{Aut}\widehat{\Gamma}$  in the notation of Proposition 3.1.)

Suppose now that  $n \ge 2$ , so that Aut  $\Gamma \cong P\Gamma Sp(2n,q)$  by Theorem 4.1. By Lemma 5.4, Aut  $\widehat{\Gamma}$  acts on  $\Gamma$ , inducing a group of automorphisms satisfying

$$P\Sigma Sp(2n,q) \leqslant \operatorname{Aut} \widehat{\Gamma}/\langle \zeta \rangle < P\Gamma Sp(2n,q).$$

This forces Aut  $\widehat{\Gamma} \cong \langle \zeta \rangle \times P\Sigma Sp(2n,q)$  and Aut  $\Delta_{\sigma} \cong P\Sigma Sp(2n,q)$ .

Finally suppose n=1, so that  $\Delta_{\sigma}$  is the Taylor-Paley two-graph on q+1 vertices, with full automorphism group  $\operatorname{Aut}\Delta_{\sigma}\cong P\Sigma Sp(2,q)=P\Sigma L(2,q)$  by [18, Theorem 2]; see also [14, §4]. As above,  $\operatorname{Aut}\widehat{\Gamma}$  has a subgroup isomorphic to  $\langle\zeta\rangle\times P\Sigma Sp(2,q)$ , and  $\operatorname{Aut}\widehat{\Gamma}/\langle\zeta\rangle\cong\operatorname{Aut}\Delta_{\sigma}\cong P\Sigma Sp(2,q)$ , so we must have equality:  $\operatorname{Aut}\widehat{\Gamma}\cong 2\times P\Sigma Sp(2,q)=2\times P\Sigma L(2,q)$ .

#### 6. The Association Scheme

From the double cover  $\widehat{\Gamma} \to \Gamma$ , we now construct association schemes. As we will see in Section 7, this gives a new family of Q-polynomial association schemes. We begin with the relevant definitions, following [6, Chapter 2].

Let  $\Omega$  be a finite set. A (symmetric) d-class association scheme on  $\Omega$  is a pair  $(\Omega, \mathcal{R})$  such that

- 1.  $\mathcal{R} = \{R_0, \dots, R_d\}$  is a partition of  $\Omega \times \Omega$ ;
- 2.  $R_0$  is the identity relation on  $\Omega$ ;
- 3.  $R_i = R_i^{\top}$  for  $0 \leqslant i \leqslant d$ ; and
- 4. there are constants  $p_{ij}^k$  such that for any pair  $(x,y) \in R_k$ , the number of  $z \in \Omega$  such that  $(x,z) \in R_i$  and  $(z,y) \in R_j$  equals  $p_{ij}^k$ .

For the rest of this paper, all association schemes are symmetric (i.e. the third property above holds). Each relation  $R_i$  has adjacency matrix  $A_i$  defined by

$$(A_i)_{x,y} = \begin{cases} 1, & \text{if } (x,y) \in R_i; \\ 0, & \text{otherwise.} \end{cases}$$

The axioms above imply that  $p_{ji}^k = p_{ij}^k$  and the matrices  $A_0, \ldots, A_d$  form an algebra of symmetric matrices satisfying  $A_i A_j = \sum_k p_{ij}^k A_k$ . This matrix algebra is also closed under Schur (entrywise) multiplication, which we will denote by 'o'. This algebra is referred to as the *Bose-Mesner algebra*  $\mathfrak A$  of the association scheme.

Since  $\mathfrak{A}$  is a commutative algebra consisting of symmetric matrices, its elements are simultaneously diagonalizable, and  $\mathfrak{A}$  has a second basis consisting of primitive idempotents  $E_0, \ldots, E_d$ . We define the parameters  $Q_{ij}$  by  $E_j = \frac{1}{|\Omega|} \sum_i Q_{ij} A_i$ . Similarly we define the parameters  $P_{ij}$  by the relation  $A_j = \sum_i P_{ij} E_i$ . The matrix P of parameters  $P_{ij}$  is often referred to as the character table of the scheme. The matrix Q of parameters  $Q_{ij}$  satisfies  $Q = |\Omega| P^{-1}$ .

We say an association scheme is Q-polynomial if, after suitably reindexing its idempotents, the idempotent  $E_j$  is a degree j polynomial in  $E_1$  (where multiplication is done entrywise). This is equivalent to the condition that the jth column of the Q-matrix is a degree j polynomial of the column 1 of the Q-matrix (note that we start indexing the columns at 0).

Permutation groups give many examples of association schemes. Let G be a transitive permutation group acting on a finite set  $\Omega$ , and suppose the orbits of G on  $\Omega \times \Omega$  happen to be symmetric relations; such a group is called *generously* 

transitive). It is not hard to check that the orbits of G on  $\Omega \times \Omega$  form an association scheme. We will refer to these schemes as Schurian schemes.

We will now construct a (2n+1)-class Schurian association scheme  $\mathcal{S} = \mathcal{S}_{n,q}$  with vertex set  $\widehat{\mathcal{V}} = \mathcal{V} \times \{\pm 1\}$  of cardinality  $|\widehat{\mathcal{V}}| = 2|\mathcal{V}| = 2q^{n^2}\prod_{i=1}^n(q^{2i}-1)$  using the Maslov index  $\sigma$  and the double cover  $\widehat{\Gamma} \to \Gamma$  (defined as in Section 5). For  $k = 0, 1, 2, \ldots, n$ , the kth and (2n+1-k)th relations are given by

$$R_k = \{ ((X, \varepsilon), (Y, \varepsilon')) \in \widehat{\mathcal{V}} \times \widehat{\mathcal{V}} : d(X, Y) = k, \ \varepsilon \varepsilon' = \sigma(X, Y) \};$$

$$R_{2n+1-k} = \{ ((X, \varepsilon), (Y, \varepsilon')) \in \widehat{\mathcal{V}} \times \widehat{\mathcal{V}} : d(X, Y) = k, \ \varepsilon \varepsilon' = -\sigma(X, Y) \}.$$

These are symmetric relations which clearly partition  $\widehat{\mathcal{V}} \times \widehat{\mathcal{V}}$ . In particular,  $R_1$  is the adjacency relation of our graph  $\widehat{\Gamma}$  of Section 5; and the identity and antipodality relations are

$$R_0 = \{((X, \varepsilon), (X, \varepsilon)) : X \in \mathcal{V}, \ \varepsilon = \pm 1\};$$
  
$$R_{2n+1} = \{((X, \varepsilon), (X, -\varepsilon)) : X \in \mathcal{V}, \ \varepsilon = \pm 1\}.$$

We will write

$$(X,\varepsilon) \stackrel{i}{\sim} (Y,\varepsilon') \iff ((X,\varepsilon),(Y,\varepsilon')) \in R_i$$

In the following, the parameters  $a_i, b_i, c_i$  are those of the dual polar graph  $\Gamma$  as given in Section 4.

**Lemma 6.1.** Let  $(X, \varepsilon) \stackrel{k}{\sim} (Y, \varepsilon')$  where  $k \in \{0, 1, 2, \dots, 2n+1\}$ . The number of  $(Z, \varepsilon'') \in \widehat{\mathcal{V}}$  such that  $(X, \varepsilon) \stackrel{i}{\sim} (Z, \varepsilon'') \stackrel{1}{\sim} (Y, \varepsilon')$  is

$$p_{i,1}^k = \begin{cases} c_k = {k \brack 1}, & \text{if } i = k-1 \leqslant n; \\ \frac{1}{2}a_k = \frac{1}{2}(q^k - 1), & \text{if } i = k; \\ b_k = q^{k+1}{n-k \brack 1}, & \text{if } i = k+1 \leqslant n+2; \\ b_{2n+1-k} = q^{2n+2-k}{k-n-1 \brack 1}, & \text{if } i = k-1 \geqslant n-1; \\ \frac{1}{2}a_{2n+1-k} = \frac{1}{2}(q^{2n+1-k} - 1), & \text{if } i = 2n+1-k; \\ c_{2n+1-k} = {2n+1-k \brack 1}, & \text{if } i = k+1 \geqslant n+1; \\ 0, & \text{otherwise.} \end{cases}$$

PROOF. (i) First suppose  $d(X,Y) = k \le n$ , so  $\varepsilon \varepsilon' = \sigma(X,Y)$ . Then  $(Z,\varepsilon'') \in \widehat{\mathcal{V}}$  satisfies  $(X,\varepsilon) \stackrel{i}{\sim} (Z,\varepsilon'') \stackrel{1}{\sim} (Y,\varepsilon')$  iff

$$\begin{cases} \frac{\text{case (i.a)}}{i = d(X, Z) \leqslant n} \\ d(Z, Y) = 1 \\ \varepsilon'' = \varepsilon \sigma(X, Z) = \varepsilon' \sigma(Y, Z) \end{cases} \quad or \quad \begin{cases} \frac{\text{case (i.b)}}{i = 2n + 1 - d(X, Z) \geqslant n + 1} \\ d(Z, Y) = 1 \\ \varepsilon'' = -\varepsilon \sigma(X, Z) = \varepsilon' \sigma(Y, Z) \end{cases}$$

Moreover, each such  $(Z, \varepsilon'')$  satisfies  $d(X, Z) \in \{k-1, k, k+1\}$  by the triangle inequality.

There are exactly  $c_k = {k \brack 1}$  choices of  $Z \in \mathcal{V}$  satisfying d(X, Z) = k-1 and d(Z, Y) = 1. Each such Z yields a coherent triple (X, Y, Z) by Lemma 4.5, so  $\varepsilon \varepsilon' = \sigma(X, Y) = \sigma(X, Z)\sigma(Y, Z)$ . This yields  $c_k$  pairs  $(Z, \varepsilon'')$ , all of which satisfy (i.a).

There are exactly  $b_k = q^{k+1} {n-k \brack 1}$  choices of  $Z \in \mathcal{V}$  satisfying d(X,Z) = k+1 and d(Z,Y) = 1. Each such Z yields a coherent triple (X,Y,Z) by Lemma 4.5, once again with  $\varepsilon \varepsilon' = \sigma(X,Y) = \sigma(X,Z)\sigma(Y,Z)$ . This yields  $b_k$  pairs  $(Z,\varepsilon'')$ , all of which satisfy (i.a).

There are exactly  $a_k = q^k - 1$  choices of  $Z \in \mathcal{V}$  satisfying d(X, Z) = k and d(Z, Y) = 1. By Theorem 4.7, exactly  $a_k/2$  of these Z yield coherent triples (X, Y, Z), in which case  $\varepsilon \varepsilon' = \sigma(X, Y) = \sigma(X, Z)\sigma(Y, Z)$ ; this yields  $a_k/2$  pairs  $(Z, \varepsilon'')$  satisfying (i.a). The remaining  $a_k/2$  of these Z yield incoherent triples (X, Y, Z), with  $\varepsilon \varepsilon' = \sigma(X, Y) = -\sigma(X, Z)\sigma(Y, Z)$ ; and the resulting pairs  $(Z, \varepsilon'')$  satisfy (i.b).

In Section 5 we lifted the action of  $P\Sigma Sp(2n,q)$  on  $\mathcal{V}$ , to a transitive permutation action of  $\langle \zeta \rangle \times P\Sigma Sp(2n,q)$  on  $\widehat{\mathcal{V}}$  (below Lemma 5.4). Theorem 5.5 shows that this group preserves  $R_1$  (the adjacency relation of the graph  $\widehat{\Gamma}$ ). We next show that this group preserves each of the relations  $R_i$ , and so gives the full automorphism group of the scheme.

**Lemma 6.2.** The diagonal action of  $2 \times PSp(2n,q)$  on  $\widehat{\mathcal{V}} \times \widehat{\mathcal{V}}$  preserves each of the relations  $R_i$ . The same conclusion holds for the subgroup  $2 \times P\Sigma Sp(2n,q)$ .

PROOF. Clearly the central factor  $(U,\varepsilon) \stackrel{\zeta}{\leftrightarrow} (U,-\varepsilon)$  preserves each  $R_i$ . Now let  $g \in Sp(2n,q)$ , and suppose  $X,Y \in \mathcal{V}$  such that  $d(X,Y) = k \in \{0,1,2,\ldots,n\}$ . Also let  $\varepsilon,\varepsilon' \in \{\pm 1\}$ , so that  $((X,\varepsilon),(Y,\varepsilon')) \in R_k$  or  $R_{2n+1-k}$  according as  $\varepsilon\varepsilon'\sigma(X,Y)=1$  or -1. Since g preserves distances in  $\Gamma$ ,  $d(X^g,Y^g)=k$ . Let  $x_1,x_2,\ldots,x_n$  and  $y_1,y_2,\ldots,y_n$  be bases for X and Y respectively, such that a basis for  $X \cap Y$  is formed by  $x_{k+1}=y_{k+1},\ x_{k+2}=y_{k+2},\ldots,\ x_n=y_n$ . Then  $(X,\varepsilon)^g=(X^g,\chi(\lambda_{g,X})\varepsilon)$  and  $(Y,\varepsilon')^g=(Y^g,\chi(\lambda_{g,Y})\varepsilon')$  where

$$\chi(\lambda_{g,X})\varepsilon\chi(\lambda_{g,Y})\varepsilon'\sigma(X^g,Y^g)$$

$$=\varepsilon\varepsilon'\chi(\lambda_{g,X}\delta_{X^g}(x_1^g,\ldots,x_n^g)\lambda_{g,Y}\delta_{Y^g}(y_1^g,\ldots,y_n^g)$$

$$\times \det\left[B(x_i^g,y_j^g):1\leqslant i,j\leqslant k\right])$$

$$=\varepsilon\varepsilon'\chi(\lambda_{g,X}^2\lambda_{g,Y}^2\delta_X(x_1,\ldots,x_n)\delta_Y(y_1,\ldots,y_n)\det\left[B(x_i,y_j):1\leqslant i,j\leqslant k\right])$$

$$=\varepsilon\varepsilon'\sigma(X,Y).$$

If this value is 1, then both  $(X,\varepsilon) \stackrel{k}{\sim} (Y,\varepsilon')$  and  $(X,\varepsilon)^g \stackrel{k}{\sim} (Y,\varepsilon')^g$ ; but if the latter value is -1, then  $(X,\varepsilon)^{\frac{2n+1-k}{2}}(Y,\varepsilon')$  and  $(X,\varepsilon)^{g^{\frac{2n+1-k}{2}}}(Y,\varepsilon')^g$ .

Thus  $2 \times PSp(2n,q)$  preserves the relations  $R_i$  as claimed. A similar argument holds for  $2 \times P\Sigma Sp(2n,q)$ .

It is easy to see that  $\langle \zeta \rangle \times P\Sigma Sp(2n,q)$  acts transitively on each  $R_i$ , and similarly for  $\langle \zeta \rangle \times PSp(2n,q)$ . This yields

**Theorem 6.3.** The diagonal action of the group  $2 \times PSp(2n,q)$  on  $\widehat{\mathcal{V}} \times \widehat{\mathcal{V}}$  has orbits  $R_0, R_1, \ldots, R_{2n+1}$ ; so these form the relations of a (2n+1)-class Schurian association scheme. The same conclusion holds for  $2 \times P\Sigma Sp(2n,q)$ , which is therefore the full automorphism group of the association scheme  $\mathcal{S}$ .

### 7. The Q-polynomial property

In this section we will use some parameters of the scheme to prove that the association scheme S is Q-polynomial. We will benefit from the action of the  $A_i$ 's by left-multiplication on the Bose-Mesner algebra, resulting in matrices  $L_i$  defined by  $(L_i)_{kj} = p_{ij}^k$ . In particular, the parameter  $p_{1j}^k$  of the scheme from Lemma 6.1, is the (k,j)-entry of the matrix

$$L_1 = \begin{pmatrix} 0 & b_0 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 \\ 1 & \frac{a_1}{2} & b_1 & 0 & 0 & \cdots & 0 & 0 & \frac{a_1}{2} & 0 \\ 0 & c_2 & \frac{a_2}{2} & \ddots & 0 & \cdots & 0 & \ddots & 0 & 0 \\ 0 & 0 & \ddots & \ddots & b_{d-1} & 0 & \frac{a_{d-1}}{2} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & c_d & \frac{a_d}{2} & \frac{a_d}{2} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{a_{d-1}}{2} & 0 & b_{d-1} & \ddots & \ddots & 0 & 0 \\ 0 & 0 & 0 & \frac{a_{d-1}}{2} & 0 & b_{d-1} & \ddots & \ddots & 0 & 0 \\ 0 & 0 & \ddots & 0 & 0 & 0 & \ddots & \frac{a_2}{2} & c_2 & 0 \\ 0 & \frac{a_1}{2} & 0 & 0 & 0 & 0 & 0 & b_1 & \frac{a_1}{2} & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & b_0 & 0 \end{pmatrix}.$$

As it turns out, this matrix has distinct eigenvalues, which in turn will give us a great deal of information about the scheme. In particular by [6, Proposition 2.2.2], the columns of Q are right eigenvectors of  $L_1$ . We will use the following generalization of [6, Theorem 8.1.1] to prove that S is Q-polynomial.

**Theorem 7.1.** Suppose  $A_i$  is a matrix in a d-class association scheme  $(\Omega, \mathcal{R})$  with d+1 distinct eigenvalues. Then  $(\Omega, \mathcal{R})$  is Q-polynomial if and only if there is a sequence of distinct complex numbers  $\sigma_0, \sigma_1, \ldots, \sigma_d$  and polynomials  $s_0(x), s_1(x), \ldots, s_d(x)$  of degree  $0, 1, \ldots, d$ , respectively, with

$$\sum_{j} p_{ij}^{k} \sigma_{j}^{\ell} = s_{\ell}(\sigma_{k})$$

for  $0 \le \ell \le d$ . Furthermore, the leading coefficients of the polynomials  $s_0(x)$ ,  $s_1(x), \ldots, s_d(x)$  are precisely the eigenvalues of  $A_i$  in a Q-polynomial ordering.

PROOF. Without loss of generality we assume  $A_1$  has this property. Let  $L_1$  be the corresponding intersection matrix. Let S, T be the d+1 by d+1 matrices with

 $S_{jk} = \sigma_j^k$  and  $T_{jk}$  equal to the coefficient of  $x^j$  in the polynomial  $s_k(x)$ . Then the above statement is equivalent to  $L_1S = ST$ . Then  $L_i$  is similar to T and since T is upper triangular, the diagonal entries of T are precisely the eigenvalues of  $L_1$ . Since T is an upper triangular matrix with distinct diagonal entries, an easy induction shows that it can be diagonalized by an upper triangular matrix. Namely, there is an invertible matrix U and a diagonal matrix D with  $D_{jj} = T_{jj}$  such that  $U^{-1}TU = D$ . Then  $L_1(SU) = (SU)D$ . This implies that the columns of SU are eigenvectors of  $L_1$ , hence there is a diagonal matrix D' such that SUD' = Q. Since the jth column of SUD' is a degree j polynomial of the first column of T, which is a linear combination of columns 0 and 1 of SUD', it is clear that the jth column of SUD' is a degree j polynomial of the first column of SUD'. This implies that the columns of Q are in a given Q-polynomial ordering, which in turn implies that the ordering of the eigenvalues in T is a Q-polynomial ordering.

This leads to our main result:

**Theorem 7.2.** The scheme S is Q-polynomial. Furthermore, it has two Q-polynomial orderings.

PROOF. Let  $r = \sqrt{q}$  and d = 2n + 1. We define the sequence of polynomials

$$s_{\ell}(x) = \begin{cases} r^{\ell} {n-\ell+1 \brack 1} x^{\ell} + \frac{1}{r^{\ell-2}} {\ell-1 \brack 1} x^{\ell-2}, & \text{for } \ell \text{ odd;} \\ r^{\ell} \left( {n-\ell+1 \brack 1} - \frac{1}{r^{\ell}} \right) x^{\ell} + \frac{1}{r^{\ell-2}} \left( {\ell-1 \brack 1} + r^{\ell-2} \right) x^{\ell-2}, & \text{for } \ell \text{ even} \end{cases}$$

and constants

$$\sigma_j = \begin{cases} \frac{1}{r^j}, & \text{for } 0 \leqslant j \leqslant n; \\ -\frac{1}{r^{2n+1-j}}, & \text{for } n+1 \leqslant j \leqslant 2n+1. \end{cases}$$

The polynomials  $s_0(x), \ldots, s_{2n+1}(x)$  realize  $\sigma_0, \ldots, \sigma_{2n+1}$  as a Q-sequence for S, as we proceed to show by direct computation. For  $k \leq n$  we have  $\sum_j p_{1j}^k \sigma_j^\ell = c_k \sigma_{k-1}^\ell + \frac{a_k}{2} \sigma_k^\ell + b_k \sigma_{k+1}^\ell + \frac{a_k}{2} \sigma_{2n+1-k}^\ell$ . For odd  $\ell$  this reduces to

$$\begin{split} c_k \sigma_{k-1}^\ell + b_k \sigma_{k+1}^\ell &= \frac{1}{r^{(k-1)\ell}} {k \brack 1} + q \left( {k \brack 1} - {n \brack 1} - {k \brack 1} \right) \frac{1}{r^{(k+1)\ell}} \\ &= \frac{1}{r^{(k+1)\ell}} \left( {k \brack 1} q^\ell + q {n \brack 1} - {k \brack 1} \right) \\ &= \frac{1}{r^{(k+1)\ell}} \left( {n+1 \brack 1} - {l \brack 1} + {k+\ell \brack 1} - {k+1 \brack 1} \right) \\ &= \frac{1}{r^{(k+1)\ell}} \left( {n-\ell+1 \brack 1} r^{2\ell} + {\ell-1 \brack 1} r^{2(k+1)} \right) \\ &= r^\ell {n-\ell+1 \brack 1} \frac{1}{r^{k\ell}} + \frac{1}{r^{\ell-2}} {\ell-1 \brack 1} \frac{1}{r^{k(\ell-2)}} \\ &= s_\ell(\sigma_k), \end{split}$$

whereas for even  $\ell$  we have

$$\sum_{j} p_{1j}^{k} \sigma_{j}^{\ell} = c_{k} \sigma_{k-1}^{\ell} + a_{k} \sigma_{k}^{\ell} + b_{k} \sigma_{k+1}^{\ell}$$

$$\begin{split} &= {k \brack 1} \frac{1}{r^{(k-1)\ell}} + (q-1) {k \brack 1} \frac{1}{r^{k\ell}} + q \left( {k \brack 1} - {n \brack 1} - {k \brack 1} \right) \frac{1}{r^{(k+1)\ell}} \\ &= \frac{1}{r^{(k+1)\ell}} \left( {k \brack 1} q^{\ell} + q {n \brack 1} - {k \brack 1} \right) + r^{2k+\ell} - r^{\ell} \\ &= \frac{1}{r^{(k+1)\ell}} \left( {n+1 \brack 1} - {l \brack 1} + {k+\ell \brack 1} - {k+1 \brack 1} + r^{2k+\ell} - r^{\ell} \right) \\ &= \frac{1}{r^{(k+1)\ell}} \left( {n-\ell+1 \brack 1} r^{2\ell} - r^{\ell} + {\ell-1 \brack 1} r^{2(k+1)} + r^{2k+\ell} \right) \\ &= r^{\ell} \left( {n-\ell+1 \brack 1} - \frac{1}{r^{\ell}} \right) \frac{1}{r^{k\ell}} + \frac{1}{r^{\ell-2}} \left( {\ell-1 \brack 1} + r^{\ell-2} \right) \frac{1}{r^{k(\ell-2)}} \\ &= s_{\ell}(\sigma_k). \end{split}$$

Now we deal with  $k \ge n + 1$ , noting that

$$\sum_{j} p_{1j}^k \sigma_j^\ell = b_{2n+1-k} \sigma_{k-1}^\ell + \frac{a_{2n+1-k}}{2} \sigma_k^\ell + c_{2n+1-k} \sigma_{k+1}^\ell + \frac{a_{2n+1-k}}{2} \sigma_{2n+1-k}^\ell.$$

For odd  $\ell$  this reduces to

$$b_{2n+1-k}\sigma_{k-1}^{\ell} + c_{2n+1-k}\sigma_{k+1}^{\ell} = -b_{2n+1-k}\sigma_{2n+2-k}^{\ell} - c_{2n+1-k}\sigma_{2n-k}^{\ell}$$
$$= -s_{\ell}(\sigma_{2n+1-k}) = s_{\ell}(-\sigma_{2n+1-k}) = s_{\ell}(\sigma_{k}),$$

while for even  $\ell$  we obtain

$$b_{2n+1-k}\sigma_{k-1}^{\ell} + a_{2n+1-k}\sigma_{k}^{\ell} + c_{2n+1-k}\sigma_{k+1}^{\ell}$$

$$= b_{2n+1-k}\sigma_{2n+2-k}^{\ell} + a_{2n+1-k}\sigma_{2n+1-k}^{\ell} + c_{2n+1-k}\sigma_{2n-k}^{\ell}$$

$$= s_{\ell}(\sigma_{2n+1-k}) = s_{\ell}(-\sigma_{2n+1-k}) = s_{\ell}(\sigma_{k}).$$

For nonsquare q the splitting field of S is irrational, implying that it is a quadratic extension of the rationals, namely  $\mathbb{Q}(r)$ . The Galois group acts faithfully on the idempotents of the scheme, yielding a second Q-polynomial ordering. This second Q-polynomial ordering can also be obtained by replacing  $r \mapsto -r$  in both the  $\sigma_j$  and the polynomials  $s_{\ell}(x)$ , showing that this second ordering exists for square q as well.

We note that by a result of Suzuki [17], Q-polynomial schemes can have at most two Q-polynomial orderings.

### 8. The *P*-matrix

We now compute the P-matrix of the scheme S, expressing it in terms of the auxiliary matrices  $\widetilde{P}$  and  $\widehat{P}$  whose entries are defined by

$$\widetilde{P}_{ij} = \sum_{l=0}^{j} (-1)^{\ell} r^{j-2\ell+(j-\ell)^2+\ell^2} {i \brack \ell} {n-i \brack j-\ell};$$

$$\widehat{P}_{ij} = \sum_{\ell=0}^{j} (-1)^{\ell} r^{(j-\ell)^2 + \ell^2} {i \brack \ell} {n-i \brack j-\ell}.$$

By [6, Proposition 2.2.2], the P-matrix is determined by the left-normalized left eigenvectors of  $L_1$ . We first show that the rows of  $\widetilde{P}$  and  $\widehat{P}$  are left eigenvectors of the matrices defined by

respectively. We will show that the corresponding diagonal forms are

$$\widetilde{D} = \operatorname{diag}(\widetilde{P}_{i0}, \widetilde{P}_{i1}, \dots, \widetilde{P}_{in}), \quad \widehat{D} = \operatorname{diag}(\widehat{P}_{i0}, \widehat{P}_{i1}, \dots, \widehat{P}_{in}).$$

The ordering we give to the eigenvectors of  $\widehat{M}$  and  $\widehat{M}$  may seem arbitrary, but will be important later.

**Theorem 8.1.**  $\widetilde{P}\widetilde{M} = \widetilde{D}\widetilde{P}$  and  $\widehat{P}\widehat{M} = \widehat{D}\widehat{P}$ .

PROOF. Fix i and let  $v_i = (\widetilde{P}_{i0}, \widetilde{P}_{i1}, \dots, \widetilde{P}_{in})$ . We must show that  $v_i \widetilde{M} = \widetilde{P}_{i1} v_i$ . In particular, we need to show the following recurrence holds for all j:

$$b_{j-1} \sum_{\ell=0}^{j-1} (-1)^{\ell} r^{j-1-2\ell+(j-1-\ell)^2+\ell^2} {i \brack \ell} {n-i \brack \ell-1-\ell} + a_j \sum_{\ell=0}^{j} (-1)^{\ell} r^{j-2\ell+(j-\ell)^2+\ell^2} {i \brack \ell} {n-i \brack j-\ell}$$

$$+ c_{j+1} \sum_{\ell=0}^{j+1} (-1)^{\ell} r^{j+1-2\ell+(j+1-\ell)^2+\ell^2} {i \brack \ell} {n-i \brack j+1-\ell}$$

$$= \widetilde{P}_{i1} \sum_{\ell=0}^{j} (-1)^{\ell} r^{j-2\ell+(j-\ell)^2+\ell^2} {i \brack \ell} {n-i \brack j-\ell}.$$

Multiplying both sides by q-1 and substituting for  $b_{j-1}, a_j$  and  $c_{j+1}$ , we find this is equivalent to showing that the quantity  $z_j$ , defined as follows, vanishes for all j:

$$z_{j} = (q^{n+1} - q^{j}) \sum_{\ell=0}^{j-1} (-1)^{\ell} r^{j-2\ell-1+(j-\ell-1)^{2}+\ell^{2}} {i \brack \ell} {n-i \brack \ell-\ell-1}$$

$$+ (q-1)(q^{j}-1) \sum_{\ell=0}^{j} (-1)^{\ell} r^{j-2\ell+(j-\ell)^{2}+\ell^{2}} {i \brack \ell} {n-i \brack j-\ell}$$

$$+ (q^{j+1}-1) \sum_{\ell=0}^{j+1} (-1)^{\ell} r^{j-2\ell+1+(j-\ell+1)^{2}+\ell^{2}} {i \brack \ell} {n-i \brack j-\ell+1}$$

$$- (q^{i}(q^{n-2i+1}-1) - q+1) \sum_{\ell=0}^{j} (-1)^{\ell} r^{j-2\ell+(j-\ell)^{2}+\ell^{2}} {i \brack \ell} {n-i \brack j-\ell}.$$

The second and last sums combine, simplifying to

$$\begin{split} z_j &= (q^{n+1} - q^j) \sum_{\ell=0}^{j-1} (-1)^\ell r^{j-2\ell-1+(j-\ell-1)^2+\ell^2} {i \brack \ell} {n-i \brack j-\ell-1} \\ &+ \left( (q-1)q^j + q^i - q^{n-i+1} \right) \sum_{\ell=0}^{j} (-1)^\ell r^{j-2\ell+(j-\ell)^2+\ell^2} {i \brack \ell} {n-i \brack j-\ell} \\ &+ (q^{j+1} - 1) \sum_{\ell=0}^{j+1} (-1)^\ell r^{j-2\ell+1+(j-\ell+1)^2+\ell^2} {i \brack \ell} {j-n-i \brack \ell-\ell+1}. \end{split}$$

Now it suffices to show that the generating function  $Z(t) = \sum_{j=0}^{\infty} z_j t^j$  vanishes. We first express Z(t) in terms of the polynomials  $E_m(t)$  defined in Section 2. Using Proposition 2.2(iii), we are able to rewrite our generating function as  $Z(t) = \Sigma_1 + \Sigma_2 + \cdots + \Sigma_6$  where

$$\begin{split} &\Sigma_{1} = q^{n+1} \sum_{j=0}^{\infty} \sum_{\ell=0}^{j-1} (-1)^{\ell} r^{j-2\ell-1+(j-\ell-1)^{2}+\ell^{2}} {i \brack \ell} {i \brack j-\ell-1} t^{j} \\ &= q^{n+1} t E_{i}(-t) E_{n-i}(qt); \\ &\Sigma_{2} = -\sum_{j=0}^{\infty} q^{j} \sum_{\ell=0}^{j-1} (-1)^{\ell} r^{j-2\ell-1+(j-\ell-1)^{2}+\ell^{2}} {i \brack \ell} {i \brack j-\ell-1} t^{j} \\ &= -q t E_{i}(-qt) E_{n-i}(q^{2}t); \\ &\Sigma_{3} = (q-1) \sum_{j=0}^{\infty} q^{j} \sum_{\ell=0}^{j+1} (-1)^{\ell} r^{j-2\ell+(j-\ell)^{2}+\ell^{2}} {i \brack \ell} {i \brack j-\ell} t^{j} \\ &= (q-1) E_{i}(-qt) E_{n-i}(q^{2}t); \\ &\Sigma_{4} = (q^{i}-q^{n-i+1}) \sum_{j=0}^{\infty} \sum_{\ell=0}^{j+1} (-1)^{\ell} r^{j-2\ell+(j-\ell)^{2}+\ell^{2}} {i \brack \ell} {i \brack j-\ell} t^{j} \\ &= (q^{i}-q^{n-i+1}) E_{i}(-t) E_{n-i}(qt); \\ &\Sigma_{5} = \sum_{j=0}^{\infty} q^{j+1} \sum_{\ell=0}^{j} (-1)^{\ell} r^{j-2\ell+1+(j-\ell+1)^{2}+\ell^{2}} {i \brack \ell} {i \brack j-\ell+1} t^{j} \\ &= \frac{1}{t} E_{i}(-qt) E_{n-i}(q^{2}t); \\ &\Sigma_{6} = -\sum_{j=0}^{\infty} \sum_{\ell=0}^{j} (-1)^{\ell} r^{j-2\ell+1+(j-\ell+1)^{2}+\ell^{2}} {i \brack \ell} {i \brack j-\ell+1} t^{j} \\ &= -\frac{1}{t} E_{i}(-t) E_{n-i}(qt). \end{split}$$

Using Proposition 2.2(i,ii), we find

$$Z(t) = \Sigma_1 + \Sigma_2 + \Sigma_3 + \Sigma_4 + \Sigma_5 + \Sigma_6$$

$$= \left(q^{n+1}t + q^i - q^{n-i+1} - \frac{1}{t}\right)$$

$$+ \frac{(1-q^it)}{(1-t)} \frac{(1+q^{n-i+1}t)}{(1+qt)} \left(-qt + q - 1 + \frac{1}{t}\right) E_i(-t) E_{n-i}(qt) = 0$$

as required.

The strategy for showing  $\widehat{P}\widehat{M} = \widehat{D}\widehat{P}$  is very similar but the details are sufficiently different that we provide the details here. Fix i and let  $v_i = (\widehat{P}_{i0}, \widehat{P}_{i1}, \dots, \widehat{P}_{in})$ . We must show that  $v_i \widehat{M} = \widehat{P}_{i1} v_i$ . In particular, we need to show the following recurrence holds for all j:

$$b_{j-1} \sum_{\ell=0}^{j-1} (-1)^{\ell} r^{(j-1-\ell)^2 + \ell^2} {i \brack \ell} {i \brack j-1-\ell} + c_{j+1} \sum_{\ell=0}^{j+1} (-1)^{\ell} r^{(j+1-\ell)^2 + \ell^2} {i \brack \ell} {i \brack j+1-\ell}$$

$$= \widehat{P}_{i1} \sum_{\ell=0}^{j} (-1)^{\ell} r^{(j-\ell)^2 + \ell^2} {i \brack \ell} {n-i \brack j-\ell}.$$

Multiplying both sides by q-1 and substituting for  $b_{j-1}, c_{j+1}$ , we find this is equivalent to showing that the following is zero for all j:

$$z_{j} = (q^{n+1} - q^{j}) \sum_{\ell=0}^{j-1} (-1)^{\ell} r^{(j-\ell-1)^{2} + \ell^{2}} {i \brack \ell} {n-i \brack j-\ell-1}$$

$$+ (q^{j+1} - 1) \sum_{\ell=0}^{j+1} (-1)^{\ell} r^{(j-\ell+1)^{2} + \ell^{2}} {i \brack \ell} {n-i \brack j-\ell+1}$$

$$- q^{i} (q^{2n-i} - 1) \sum_{\ell=0}^{j} (-1)^{\ell} r^{(j-\ell)^{2} + \ell^{2}} {i \brack \ell} {n-i \brack j-\ell+1}.$$

Again, it suffices to show that the generating function  $Z(t) = \sum_{j=0}^{\infty} z_j t^j$  vanishes. As before, we first rewrite our generating function as  $Z(t) = \Sigma_1 + \Sigma_2 + \cdots + \Sigma_6$  where

$$\begin{split} &\Sigma_{1} = q^{n+1} \sum_{\ell=0}^{j-1} (-1)^{\ell} r^{(j-\ell-1)^{2}+\ell^{2}} t^{\ell} \begin{bmatrix} i \\ \ell \end{bmatrix} \begin{bmatrix} n-i \\ j-\ell-1 \end{bmatrix} t^{m} = q^{n+1} t E_{i}(-rt) E_{n-i}(rt); \\ &\Sigma_{2} = -q^{j} \sum_{\ell=0}^{j-1} (-1)^{\ell} r^{(j-\ell-1)^{2}+\ell^{2}} t^{\ell} \begin{bmatrix} i \\ \ell \end{bmatrix} \begin{bmatrix} n-i \\ j-\ell-1 \end{bmatrix} t^{m} = -qt E_{i}(-r^{3}t) E_{n-i}(r^{3}t); \\ &\Sigma_{3} = q^{j+1} \sum_{\ell=0}^{j+1} (-1)^{\ell} r^{(j-\ell+1)^{2}+\ell^{2}} t^{\ell} \begin{bmatrix} i \\ \ell \end{bmatrix} \begin{bmatrix} n-i \\ j-\ell+1 \end{bmatrix} t^{m} = \frac{1}{t} E_{i}(-r^{3}t) E_{n-i}(r^{3}t); \\ &\Sigma_{4} = -\sum_{\ell=0}^{j+1} (-1)^{\ell} r^{(j-\ell+1)^{2}+\ell^{2}} t^{\ell} \begin{bmatrix} i \\ \ell \end{bmatrix} \begin{bmatrix} n-i \\ j-\ell+1 \end{bmatrix} t^{m} = -\frac{1}{t} E_{i}(-rt) E_{n-i}(rt); \end{split}$$

$$\Sigma_{5} = -rq^{2n} \sum_{\ell=0}^{j} (-1)^{\ell} r^{(j-\ell)^{2} + \ell^{2}} t^{\ell} \begin{bmatrix} i \\ \ell \end{bmatrix} \begin{bmatrix} n-i \\ j-\ell \end{bmatrix} t^{m} = -rq^{n-i} E_{i}(-rt) E_{n-i}(rt);$$

$$\Sigma_{6} = rq^{i} \sum_{\ell=0}^{j} (-1)^{\ell} r^{(j-\ell)^{2} + \ell^{2}} t^{\ell} \begin{bmatrix} i \\ \ell \end{bmatrix} \begin{bmatrix} n-i \\ j-\ell \end{bmatrix} t^{m} = rq^{i} E_{i}(-rt) E_{n-i}(rt)$$

in terms of the polynomials  $E_m(t)$  defined in Section 2. Using Proposition 2.2(iii), we find

$$\begin{split} Z(t) &= \Sigma_1 + \Sigma_2 + \Sigma_3 + \Sigma_4 + \Sigma_5 + \Sigma_6 \\ &= \left(q^{n+1}t - \frac{1}{t} - rq^{n-i} + rq^i \right. \\ &+ \left(\frac{1}{t} - qt\right) \frac{(1 - rq^i t)(1 + rq^{n-i} t)}{(1 - rt)(1 + rt)} \right) E_i(-rt) E_{n-i}(rt) = 0 \end{split}$$

as required.

Corollary 8.2. The P-matrix of the Q-polynomial scheme S is given by

$$\begin{cases} P_{i,j} = P_{i,2n+1-j} = \widetilde{P}_{\frac{i}{2},j} & \text{for } i \text{ even, } 0 \leqslant j \leqslant n; \\ P_{i,j} = -P_{i,2n+1-j} = \widehat{P}_{\lfloor \frac{i}{2} \rfloor,j} & \text{for } i \text{ odd, } 0 \leqslant j \leqslant n. \end{cases}$$

PROOF. If  $(v_0, \ldots, v_n)$  is a left eigenvector of  $\widetilde{M}$  or  $\widehat{M}$ , it is easily seen that either  $(v_0, \ldots, v_n, v_n, \ldots, v_0)$  or  $(v_0, \ldots, v_n, -v_n, \ldots, -v_0)$  is a left eigenvector of  $L_1$ , respectively. The fact that this ordering of the eigenvalues of  $L_1$  is a Q-polynomial ordering follows from Theorem 7.2.

#### 9. A hypothetical subscheme

We ask whether S is the extended Q-bipartite double (in the sense of [13]) of a primitive Q-polynomial scheme. We investigated these parameters up to n=20 and found they satisfied the Krein conditions, had integral eigenvalue multiplicities and nonnegative integral  $p_{ij}^k$ , and satisfy the handshaking lemma for all square q. This appears to give an infinite family of feasible parameters for primitive Q-polynomial schemes with an unbounded number of classes. Detailed parameters and a proof of feasibility will be given in a forthcoming paper of Eiichi Bannai and Jianmin Ma.

We give the smallest case below for which existence is unknown:

$$P = \begin{pmatrix} 1 & \frac{r^4 + r^3 + r^2 + r}{2} & \frac{r^4 - r^3 + r^2 - r}{2} & \frac{r^6 + r^4}{2} & \frac{r^6 - r^4}{2} \\ 1 & \frac{r^3 + r^2 + r - 1}{2} & \frac{-r^3 + r^2 - r - 1}{2} & \frac{r^4 - r^2}{2} & \frac{-r^4 - r^2}{2} \\ 1 & \frac{r^2 - 1}{2} & \frac{r^2 - 1}{2} & -r^2 & 0 \\ 1 & \frac{-r^2 - 1}{2} & \frac{-r^2 - 1}{2} & 0 & r^2 \\ 1 & \frac{-r^3 - r^2 - r - 1}{2} & \frac{r^3 - r^2 + r - 1}{2} & \frac{r^4 + r^2}{2} & \frac{-r^4 + r^2}{2} \end{pmatrix}$$

$$Q = \begin{pmatrix} 1 & \frac{r^4 - 1}{2} & \frac{r^6 + r^4 + r^2 + 1}{2} & \frac{r^6 - r^4 + r^2 - 1}{2} & \frac{r^4 + 1}{2} \\ 1 & \frac{r^4 - 2r + 1}{2} & \frac{r^6 - r^4 + r - 1}{2} & \frac{r^6 + r^4 - r^2 - 1}{2} & \frac{r^4 + 1}{2} \\ 1 & \frac{-r^4 - 2r - 1}{2} & \frac{r^5 + r^4 + r - 1}{2} & \frac{-r^5 + r^3 - r + 1}{2} & \frac{-r^3 - r}{2} \\ 1 & \frac{-r^4 - 2r^2 + 1}{2} & \frac{r^5 + r^4 + r + 1}{r^2} & \frac{-r^5 - r^3 - r - 1}{2} & \frac{r^4 + r^4}{r^2} \\ 1 & \frac{-r^4 - 2r^2 + 1}{2r^2} & 0 & \frac{r^4 + 1}{r^4} & \frac{-r^4 - r^4}{2r^2} \end{pmatrix}$$

$$L_0 = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

$$L_1 = \begin{pmatrix} 0 & \frac{r^4 + r^3 + r^2 + r}{2r^2} & 0 & 0 & 0 \\ 1 & \frac{r^2 + 2r + 1}{4} & \frac{r^4 - 1}{4} & 0 & \frac{r^4 + r^3}{2} \\ 0 & \frac{r^4 + r^3}{2r^2 + 1} & \frac{r^4 + r^3}{4} & \frac{r^4 + r^3 - r^2 - r}{4} \end{pmatrix}$$

$$L_2 = \begin{pmatrix} 0 & 0 & \frac{r^4 + r^3 + r^2 - r}{4} & 0 & 0 & \frac{r^4 + r^3}{4} \\ 0 & \frac{r^2 + 1}{2} & \frac{r^4 + r^3 + r^2 - r}{4} & 0 & \frac{r^4 + r^3}{4} \\ 0 & 0 & \frac{r^2 + 1}{2} & \frac{r^4 + r^3 + r^2 + r}{4} & \frac{r^4 + r^3 - r^2 + r}{4} \end{pmatrix}$$

$$L_3 = \begin{pmatrix} 0 & 0 & \frac{r^4 - r^3 + r^2 - r}{4} & 0 & 0 & \frac{r^4 - r^3}{2} \\ 0 & \frac{r^4 + r^3}{2} & 0 & \frac{r^4 - r^3 + r^2 - r}{2} & \frac{r^4 - r^3 - r^2 + r}{4} \end{pmatrix}$$

$$L_4 = \begin{pmatrix} 0 & 0 & 0 & \frac{r^4 - r^3}{2} & \frac{r^4 - r^3 + r^2 - r}{2} & \frac{r^4 - r^3 + r^2 - r}{4} & \frac{r^6 - r^4}{2} & \frac{r^6 - r^4}{4} \\ 0 & \frac{r^4 + r^3}{2} & 0 & \frac{r^4 - r^3 - r^2 + r}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{2} \end{pmatrix}$$

$$L_4 = \begin{pmatrix} 0 & 0 & 0 & 0 & \frac{r^6 - r^4}{2} & \frac{r^6 - r^4}{4} \\ 0 & 0 & \frac{r^4 - r^3}{4} & \frac{r^2 - r}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{2} \\ 0 & \frac{r^4 + r^3}{4} & 0 & \frac{r^4 - r^3 - r^2 + r}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} \\ 0 & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} \end{pmatrix}$$

$$L_4 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \frac{r^6 - r^4}{2} \\ 0 & 0 & \frac{r^4 + r^3}{4} & -r^2 - r & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} \\ 0 & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} \\ 0 & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} \\ 0 & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} & \frac{r^6 - r^4}{4} \end{pmatrix}$$

$$0 & 0 & 0 & 0 &$$

$$L_1^* = \begin{pmatrix} 0 & \frac{r^4-1}{2} & 0 & 0 & 0 \\ 1 & \frac{r^6-5r^4-3r^2-1}{2(r^4+r^2)} & \frac{r^8+2r^4+1}{2(r^4+r^2)} & 0 & 0 \\ 0 & \frac{r^6-r^4+r^2-1}{2(r^4+r^2)} & \frac{r^8-4r^2+3}{4(r^4+r^2)} & \frac{r^6-r^4+r^2-1}{4r^2} & 0 \\ 0 & 0 & \frac{r^6+r^4+r^2+1}{4r^2} & \frac{r^6-3r^4-3r^2-3}{4r^2-1} & \frac{r^4+1}{2r^2} \\ 0 & 0 & 0 & \frac{r^6-r^4+r^2-1}{2r^2} & \frac{r^4-2r^2+1}{2r^2} \end{pmatrix}$$

$$L_2^* = \begin{pmatrix} 0 & 0 & \frac{r^6 + r^4 + r^2 + 1}{2} & 0 & 0 \\ 0 & \frac{r^8 + 2r^4 + 1}{2(r^4 + r^2)} & \frac{r^{10} + r^8 + 2r^6 - 2r^4 + r^2 - 3}{4(r^4 + r^2)} & \frac{r^8 + 2r^4 + 1}{4r^2} & 0 \\ 1 & \frac{r^8 - 4r^2 + 3}{4(r^4 + r^2)} & \frac{r^{10} + 3r^8 + 2r^6 - 2r^4 + r^2 - 5}{4(r^4 + r^2)} & \frac{r^8 - 2r^6 + 2r^4 - 2r^2 + 1}{4r^2} & \frac{r^6 + r^4 + r^2 + 1}{4r^2} \\ 0 & \frac{r^6 + r^4 + r^2 + 1}{4r^2} & \frac{r^8 - 1}{4r^2} & \frac{r^8 + 2r^4 + 1}{4r^2} & \frac{r^6 - r^4 + r^2 - 1}{4r^2} \\ 0 & 0 & \frac{r^8 + 2r^6 + 2r^4 + 2r^2 + 1}{4r^2} & \frac{r^8 - 2r^6 + 2r^4 - 2r^2 + 1}{4r^2} & \frac{r^6 - r^4 + r^2 - 1}{2r^2} \end{pmatrix}$$

$$L_3^* = \begin{pmatrix} 0 & 0 & 0 & \frac{r^6 - r^4 + r^2 - 1}{2} & 0 \\ 0 & 0 & \frac{r^8 + 2r^4 + 1}{4r^2} & \frac{r^{10} - 3r^8 - 2r^6 - 6r^4 - 3r^2 - 3}{4(r^4 + r^2)} & \frac{r^8 + 2r^4 + 1}{2(r^4 + r^2)} \\ 0 & \frac{r^6 - r^4 + r^2 - 1}{4r^2} & \frac{r^8 - 2r^6 + 2r^4 - 2r^2 + 1}{4r^2} & \frac{r^{10} - r^8 + 2r^6 - 2r^4 + r^2 - 1}{4(r^4 + r^2)} & \frac{r^8 - 2r^6 + 2r^4 - 2r^2 + 1}{4(r^4 + r^2)} \\ 1 & \frac{r^6 - 3r^4 - 3r^2 - 3}{4r^2} & \frac{r^8 + 2r^4 + 1}{4r^2} & \frac{r^8 - 4r^6 + 4r^4 - 4r^2 + 3}{4r^2} & \frac{r^6 - r^4 + r^2 - 1}{4r^2} \\ 0 & \frac{r^6 - r^4 + r^2 - 1}{2r^2} & \frac{r^8 - 2r^6 + 2r^4 - 2r^2 + 1}{4r^2} & \frac{r^8 - 2r^6 + 2r^4 - 2r^2 + 1}{4r^2} & 0 \end{pmatrix}$$

$$L_4^* = \begin{pmatrix} 0 & 0 & 0 & \frac{r^4 + 1}{2} \\ 0 & 0 & 0 & \frac{r^8 + 2r^4 + 1}{2(r^4 + r^2)} & \frac{r^6 - r^4 + r^2 - 1}{2(r^4 + r^2)} \\ 0 & 0 & \frac{r^6 + r^4 + r^2 + 1}{4r^2} & \frac{r^8 - 2r^6 + 2r^4 - 2r^2 + 1}{4(r^4 + r^2)} & \frac{r^6 - r^4 + r^2 - 1}{2(r^4 + r^2)} \\ 0 & \frac{r^4 + 1}{2r^2} & \frac{r^6 - r^4 + r^2 - 1}{4r^2} & \frac{r^6 - r^4 + r^2 - 1}{4r^2} & 0 \\ 1 & \frac{r^4 - 2r^2 + 1}{2r^2} & \frac{r^6 - r^4 + r^2 - 1}{4r^2} & 0 & 0 \end{pmatrix}$$

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